



U-S

A SMALL QUANTITY HANDLER OF UNIVERSAL WASTE DOES NOT ACCUMULATE 5,000 KILOGRAMS OR MORE TOTAL OF ALL TYPES OF UNIVERSAL WASTE AT ANY TIME.

NAME		DATE	EPA ID NUMBER		MO ID NUMBER
ADDRESS			TELEPHONE NUMBER WITH AREA CODE		RR NUMBER
CITY	COUNTY	ZIP CODE	YEARS AT SITE	DATE OF LAST INSPECTION	
CONTACT NAME		CONTACT TITLE			NUMBER OF EMPLOYEES

M. GENERAL				COMMENTS
#	C	V	NA	
1.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Does not dispose of universal waste. – 10 CSR 25-16.273(1) incorporating 40 CFR 273.11(a).				
2.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Does not dilute or treat universal waste except as provided by 40 CFR 273.17 or 40 CFR 273.13. – 10 CSR 25-16.273(1) incorporating 40 CFR 273.11(b).				
3.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Does not accept universal waste pesticides from other handlers unless operating a universal waste pesticide collection program per Missouri SOP. – 10 CSR 25-16.273(2)(B)1.				
4.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Universal waste accumulated for less than one year from the date generated or received from another handler. – 10 CSR 25-16.273(1) incorporating 40 CFR 273.15(a).				
5.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Can demonstrate the length of time universal waste has been accumulated from the date it becomes a waste or is received (marking, labeling, inventory, dated area or other valid method). – 10 CSR 25-16.273(1) incorporating 40 CFR 273.15(c).				
6.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Accumulates universal waste for only one year unless able to demonstrate activity is solely for the purpose of accumulating quantities to facilitate proper recovery, treatment or disposal. – 10 CSR 25-16.273(1) incorporating 40 CFR 273.15(b).				

N. PREPAREDNESS AND EMERGENCY TRAINING				COMMENTS
#	C	V	NA	
1.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Immediately contains all releases of universal wastes and universal waste residues. – 10 CSR 25-16.273(1) incorporating 40 CFR 273.17(a).				
2.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Determines if materials from a universal waste release are hazardous waste and are properly managed. – 10 CSR 25-16.273(1) incorporating 40 CFR 273.17(b).				
3.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Provides all employees who manage universal waste with information describing proper handling and emergency procedures appropriate for universal wastes handled at the facility. – 10 CSR 25-16.273(1) incorporating 40 CFR 273.16.				

O. OFF-SITE SHIPMENTS				COMMENTS
#	C	V	NA	
1.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Non-pesticide universal wastes only sent to another universal waste handler, destination facility, Missouri resource recovery facility or foreign destination. – 10 CSR 25-16.273(1) incorporating 40 CFR 273.18(a) as modified by 10 CSR 25-16.273(2)(B).				
2.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Complies with universal waste transporter requirements if self-transporting universal waste. – 10 CSR 25-16.273(1) incorporating 40 CFR 273.18(b).				
3.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Uses proper shipping documents, packages, labels, marks and provides placards per Department of Transportation requirements on universal waste that meets hazardous materials definition. – 10 CSR 25-16.273(1) incorporating 40 CFR 273.18(c).				

O. OFF-SITE SHIPMENTS (CONTINUED)				COMMENTS	
C	V	NA			
4.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Ensures receiving handler agrees to accept universal waste prior to shipment. – 10 CSR 25-16.273(1) incorporating 40 CFR 273.18(d).	2
5.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Accepts rejected universal waste or agrees with receiving handler to ship to an alternate destination facility. – 10 CSR 25-16.273(1) incorporating 40 CFR 273.18(e).	2
6.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Contacts originating handler to discuss reshipment of the load back to originating handler or to a mutually agreed on destination facility if handler rejects a shipment or portion of a shipment of universal waste. – 10 CSR 25-16.273(1) incorporating 40 CFR 273.18(f).	2
7.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Originating handler receives back or sends rejected universal waste pesticides to another Missouri-certified resource recovery facility or destination facility if universal waste pesticides are rejected. – 10 CSR 25-16-273(2)(B)4.	2
8.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Immediately notifies the department if a shipment of hazardous waste is received and provides required information. – 10 CSR 25-16.273(1) incorporating 40 CFR 273.18(g).	2
9.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Manages waste in compliance with applicable solid waste regulations if receives a shipment of non-hazardous, non-universal wastes. – 10 CSR 25-16.273(1) incorporating 40 CFR 273.18(h).	2
10.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Exported universal waste regulations met including notification, annual reporting and record keeping. – 10 CSR 25-16.273(1) incorporating 40 CFR 273.20 referencing 40 CFR 262 Subpart E or Subpart H.	2

P. BATTERIES				COMMENTS	
C	V	NA			
1.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Damaged or leaking batteries kept in closed, structurally sound containers. – 10 CSR 25-16.273(1) incorporating 40 CFR 273.13(a)(1).	1
2.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Battery cells immediately closed after the removal of electrolyte. – 10 CSR 25-16.273(1) incorporating 40 CFR 273.13(a)(2).	1
3.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	If casings of individual battery cells are breached then manages batteries as hazardous waste if characteristic. – 10 CSR 25-5.262(1) incorporating 40 CFR 262.11.	1
4.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Determines if electrolyte removed from cells and other solid wastes generated from universal waste batteries exhibit hazardous waste characteristics and properly manages wastes. – 10 CSR 25-16.273(1) incorporating 40 CFR 273.13(3).	1
5.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Batteries stored in a manner to prevent releases to the environment (box, shrink wrapped pallet, container, etc.). – 10 CSR 25-16.273(1) incorporating 40 CFR 273.13(a).	2
6.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Individual battery cells kept intact and closed while performing approved management of batteries (sorting, discharging, disassembling battery packs, etc.). – 10 CSR 25-16.273(1) incorporating 40 CFR 273.13(a)(2).	2
7.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Batteries or battery containers clearly labeled or marked as “Universal Waste-Battery(ies)” or “Waste Battery(ies)” or “Used Battery(ies).” – 10 CSR 25-16.273(1) incorporating 40 CFR 273.14(a).	2

Q. PESTICIDES
Only pesticides that have been recalled or are unused and gathered as a Missouri authorized waste pesticide collection program can be managed as universal wastes.

C	V	NA		COMMENTS	
1.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Universal waste pesticides stored in closed, structurally sound container that is compatible with the wastes and not leaking or damaged. – 10 CSR 25-16.273(1) incorporating 40 CFR 273.13(b)(1).	1
2.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Universal waste pesticides stored in closed, structurally sound transport vehicles/vessels that are compatible with the wastes and not leaking or damaged. – 10 CSR 25-16.273(1) incorporating 40 CFR 273.13(b)(4).	1

Q. PESTICIDES (CONTINUED)				COMMENTS	
C	V	NA			
3.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Universal waste pesticide stored in tanks that conform with Part 265 Subpart J except for 40 CFR 265.197(c), 265.200 and 265.201. – 10 CSR 25-16.273(1) incorporating 40 CFR 273.13(b)(3).	1
4.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Universal waste pesticides sent only to authorized destination facility or Missouri universal waste pesticide program. – 10 CSR 25-16.273(2)(B).	1
5.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Universal waste pesticides not sent to or received from another universal waste handler. – 10 CSR 25-16.273(2)(B).	1
6.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Recalled universal waste pesticide container, tank, transport vehicle or vessel labeled or marked with the label that was on or accompanied the product as sold/distributed. – 10 CSR 25-16.273(1) incorporating 40 CFR 273.14(b)(1).	2
7.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Unused universal waste pesticide container, tank, transport vehicle or vessel labeled or marked with the label that was on or accompanied the product as sold/distributed or the US Department of Transportation label as required by 49 CFR Part 172, or a label designated by the Missouri waste pesticide collection program. – 10 CSR 25-16.273(1) incorporating 40 CFR 273.14(c)(1).	2
8.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Universal waste pesticides container, tank, transport vehicle or vessel marked or labeled clearly with product label and the words "Universal Waste Pesticide(s)" or "Waste Pesticide(s)." – 10 CSR 25-16.273(1) incorporating 40 CFR 273.14(b)(2) and (c)(2).	2
R. MERCURY CONTAINING EQUIPMENT				COMMENTS	
C	V	NA			
1.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Mercury containing equipment stored in a manner to prevent releases to the environment (box, container, etc.). – 10 CSR 25-16.273(1) incorporating 40 CFR 273.13(c).	1
2.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Damaged or leaking mercury containing equipment or mercury containing equipment with non-contained elemental mercury kept in a closed, structurally sound container. – 10 CSR 25-16.273(1) incorporating 40 CFR 273.13(c)(1).	1
3.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Mercury ampules or open original housing holding mercury removed over or in a containment device. – 10 CSR 25-16.273(1) incorporating 40 CFR 273.13(c)(2)(ii).	1
4.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Mercury from spills or leaks of broken ampules or open original housing holding mercury immediately transferred to container meeting 40 CFR 262.34 requirements. – 10 CSR 25-16.273(1) incorporating 40 CFR 273.13(c)(2)(iv).	1
5.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Removed ampules or open original housing holding mercury stored in closed, non-leaking containers that are in good condition and packaged with adequate packing material to prevent breakage during storage, handling and transportation. – 10 CSR 25-16.273(1) incorporating 40 CFR 273.13(c)(2)(vii).	1
6.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Open original housing holding mercury removed from mercury containing equipment immediately sealed with airtight seal. – 10 CSR 25-16.273(1) incorporating 40 CFR 273.13(c)(3)(i).	1
7.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Determines if wastes generated from mercury ampules or housing removal activities exhibits hazardous waste characteristics. If hazardous waste then manages as hazardous waste per 40 CFR part 262. – 10 CSR 25-16.273(1) incorporating 40 CFR 273.13(c)(4).	1
8.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Mercury clean-up system readily available – 10 CSR 25-16.273(1) incorporating 40 CFR 273.13(c)(2)(iii).	2
9.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Area where ampules or open original housing holding mercury are removed is well ventilated and monitored to ensure compliance with applicable OSHA exposure levels of mercury. – 10 CSR 25-16.273(1) incorporating 40 CFR 273.13(c)(2)(v) and 273.33(c)(2).	2

R. MERCURY CONTAINING EQUIPMENT (CONTINUED)				
	C	V	NA	COMMENTS
10.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Employees removing ampules or open original housing holding mercury thoroughly familiar with proper mercury handling and emergency procedure. – 10 CSR 25-16.273(1) incorporating 40 CFR 273.13(c)(2)(vi). 2
11.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Universal waste mercury equipment or containers marked or labeled clearly: “Universal Waste-Mercury Containing Equipment” or “Waste Mercury Containing Equipment” or “Used Mercury Containing Equipment.” – 10 CSR 25-16.273(1) incorporating 40 CFR 273.14(d)(1). 2
12.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Universal waste mercury containing thermostats or containers marked or labeled as “Universal Waste-Mercury Thermostat(s)” “Waste Mercury Thermostat(s)” or “Used Mercury Thermostat(s).” – 10 CSR 25-16.273(1) incorporating 40 CFR 273.14(d)(2). 2
S. LAMPS				
	C	V	NA	COMMENTS
1.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Broken or leaking lamps immediately cleaned up and placed in closed, structurally sound, non-leaking containers and managed as hazardous waste. – 10 CSR 25-16.273(1) incorporating 40 CFR 273.13(d)(2) and 10 CSR 25-5.262(1) incorporating 40 CFR 262.11. 1
2.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Bulb crusher or other type of treatment not performed on lamps. – Section 260.390.1(1) RSMo. 1
3.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Unbroken lamps stored in closed, non-leaking containers or packages that are structurally sound and adequate to prevent breakage. – 10 CSR 25-16.273(1) incorporating 40 CFR 273.13(d)(1). 2
4.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Each lamp or lamp container or package marked or labeled clearly as “Universal Waste Lamp(s)” or “Waste Lamp(s)” or “Used Lamps.” – 10 CSR 25-16.273(1) incorporating 40 CFR 273.14(e). 2