



MISSOURI DEPARTMENT OF NATURAL RESOURCES
 WATER PROTECTION PROGRAM
FINANCIAL QUESTIONNAIRE

NOTE ► FINANCIAL INFORMATION THAT IS NOT PROVIDED THROUGH THIS FORM WILL BE OBTAINED BY THE DEPARTMENT FROM READILY AVAILABLE SOURCES.

1. GENERAL INFORMATION

FACILITY NAME	PERMIT NUMBER #MO-
CITY	COUNTY
<input type="checkbox"/> PERMIT RENEWAL/MODIFICATION <input type="checkbox"/> STATE REVOLVING FUND APPLICATION	SRF PROJECT NUMBER (IF APPLICABLE) C295 _____

2. GENERAL FINANCIAL INFORMATION (ALL FACILITIES)

2.1 Number of connections to the facility: Residential _____ Commercial _____ Industrial _____

2.2 Current sewer user rate:
 Based on a 5,000 gallon per month usage \$ _____

The sewer user rate is (check one):
 Rate Capacity (set rate)
 Pay as You Go

2.3 Current operating costs for the facility (excludes depreciation):

2.4 Bond Rating (if applicable):

2.5 Bonding Capacity:
General obligation bond capacity allowed by constitution: cities=up to 20% of taxable tangible property; sewer districts=up to 5% of taxable tangible property

2.6 Current outstanding debt relating to wastewater collection and treatment:
Debt information is typically available from your community's annual financial statements

2.7 Amount of current user rate per household per month used toward payments on wastewater debt:

2.8 Net direct debt:
Net direct debt is the total amount of outstanding general obligation debt, including notes and short-term financing.

2.9 Overlapping debt:
Overlapping debt is the financial obligations of one political jurisdiction that also falls partly on a nearby jurisdiction.

2.10 Overall net debt:
Overall net debt is defined as debt repaid by property taxes within a utility/municipality's service area. It excludes debt that is repaid by special user fees (e.g. revenue bonds). Overall net debt = Net direct debt + Overlapping debt. Debt information is typically available from your community's annual financial statements

2.11 Attach any relevant financial statements.

3. FINANCIAL INFORMATION SPECIFIC TO MUNICIPALITIES

3.1 Municipality's Full Market Property Value (FMPV):
FMPV data is typically available through your community or state assessor's office

3.2 Municipality's property tax revenues:
Property tax revenues are typically available from your community's annual financial statements

3.3 Municipality's property tax collection rate:
To determine the collection rate, you will need to divide property tax revenues by the property taxes levied. To calculate property taxes levied, multiply the assessed value of real property within your community/service area by the property tax rate. This information is typically available through your community or state assessor's office. Property tax revenues are typically available in your community's annual financial statements.

4. FINANCIAL INFORMATION SPECIFIC TO SEWER DISTRICTS

4.1 Total connections to the sewer district: Residential _____ Commercial _____ Industrial _____

4.2 When facilities require upgrades, how are the costs divided? Will the homes connected to the upgraded facility bear the costs? Will the costs be divided across the sewer district?

5. OTHER CONSIDERATIONS (ALL FACILITIES)

5.1 Provide a list of major infrastructure or other investments in environmental projects. Include project timing and costs and indicate any possible overlap or complications (attach sheets as necessary):

5.2 Provide a list of any other relevant local community economic conditions that may impact the ability to afford new permit requirements or the proposed SRF project. (See Community Supplemental Survey on the following page):

6. CERTIFICATION

FINANCIAL CONTACT	OFFICIAL TITLE
EMAIL ADDRESS	TELEPHONE NUMBER WITH AREA CODE

I certify under penalty of law that I have personally examined and am familiar with the information submitted in this application and all attachments and that based on my inquiry of those individuals immediately responsible for obtaining this information, I believe that the information is true, accurate and complete. I am aware that there are significant penalties for submitting false information including the possibility of fine or imprisonment.

OWNER OR AUTHORIZED REPRESENTATIVE	OFFICIAL TITLE
SIGNATURE	DATE SIGNED

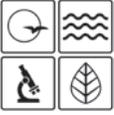
For additional guidance, see <http://usmayors.org/urbanwater/media/2013/0529-report-WaterAffordability.pdf>.

For more information regarding your Missouri State Operating Permit, contact the department's Water Protection Program at 573-751-1300, to speak with a permit writer in the domestic wastewater unit.

For more information regarding your State Revolving Fund Application, contact the department's Water Protection Program at 573-751-1300, to speak with a project coordinator in the Financial Assistance Center.

This completed form and any attachments should be submitted to one of the following:

For Submittal of Permit Renewal/Modification: Department of Natural Resources Water Protection Program ATTN: NPDES Operating Permits Section P.O. Box 176 Jefferson City, MO 65102	For Submittal of SRF Applications: Department of Natural Resources Water Protection Program ATTN: Financial Assistance Center P.O. Box 176 Jefferson City, MO 65102
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Community Supplemental Survey

PLEASE ANSWER THE FOLLOWING APPLICABLE QUESTIONS. (ATTACH ADDITIONAL SHEETS AS NECESSARY)

<p>1. Are there any significant transportation corridors within 20 miles of your community? If yes, please explain. (Example: major interstate, railroad center)</p>				
<p>2. Are there any significant manufacturing or employment centers within 20 miles of your community? If yes, please explain. (Example: commercial farming, manufacturing, government operation, big box store)</p>				
<p>3. Where do the majority of children in your community receive their education? (Please check appropriate box for each education level)</p> <p>Elementary <input type="checkbox"/> Within your community <input type="checkbox"/> Within 20 miles <input type="checkbox"/> Farther than 20 miles</p> <p>Middle School <input type="checkbox"/> Within your community <input type="checkbox"/> Within 20 miles <input type="checkbox"/> Farther than 20 miles</p> <p>High School <input type="checkbox"/> Within your community <input type="checkbox"/> Within 20 miles <input type="checkbox"/> Farther than 20 miles</p>				
<p>4. Considering your community's tax base, debt level, ability to bond capital improvement projects, or repay loans, how likely is it that your community could afford to pay for the following:</p>	Very Unlikely	Unlikely	Likely	Very Likely
<p>4.1 An upgrade or replacements to your wastewater system costing \$50,000</p>				
<p>4.2 An upgrade or replacements to your wastewater system costing \$250,000</p>				
<p>4.3 An upgrade or replacements to your wastewater system costing \$1 million</p>				
<p>5. Which of the following best describes anticipated population change for your community over the next ten years?</p> <p><input type="checkbox"/> Significant Decrease <input type="checkbox"/> Decrease <input type="checkbox"/> Remain the Same <input type="checkbox"/> Increase <input type="checkbox"/> Significant Increase</p>				
<p>6. Check the appropriate boxes in the following statements as it relates to the population change you predicted in questions 5.</p>				
<p>6.1 Over the past 20 years the population has:</p> <p><input type="checkbox"/> Significantly Decreased <input type="checkbox"/> Decreased <input type="checkbox"/> Remained the Same <input type="checkbox"/> Increased <input type="checkbox"/> Significantly Increased</p>				
<p>6.2 The majority of the population in the community is retired or is near retirement.</p> <p><input type="checkbox"/> Definitely False <input type="checkbox"/> Probably False <input type="checkbox"/> Probably True <input type="checkbox"/> True <input type="checkbox"/> Unknown</p>				
<p>6.3 The majority of young people leave the community in search of employment or education elsewhere.</p> <p><input type="checkbox"/> Definitely False <input type="checkbox"/> Probably False <input type="checkbox"/> Probably True <input type="checkbox"/> True <input type="checkbox"/> Unknown</p>				
<p>6.4 In the foreseeable future, the employment opportunity in or around the community will:</p> <p><input type="checkbox"/> Significantly Decrease <input type="checkbox"/> Decrease <input type="checkbox"/> Remain the Same <input type="checkbox"/> Increase <input type="checkbox"/> Significantly Increase</p>				
<p>6.5 In the foreseeable future the economic activity in or around the community will:</p> <p><input type="checkbox"/> Significantly Decrease <input type="checkbox"/> Decrease <input type="checkbox"/> Remain the Same <input type="checkbox"/> Increase <input type="checkbox"/> Significantly Increase</p>				
<p>6.6 In the foreseeable future the tax base of the community will:</p> <p><input type="checkbox"/> Significantly Decrease <input type="checkbox"/> Decrease <input type="checkbox"/> Remain the Same <input type="checkbox"/> Increase <input type="checkbox"/> Significantly Increase</p>				
<p>6.7 It is _____ for the community to meet its debt obligations.</p> <p><input type="checkbox"/> Difficult <input type="checkbox"/> Somewhat Difficult <input type="checkbox"/> Somewhat Easy <input type="checkbox"/> Easy <input type="checkbox"/> No Debt</p>				
<p>7. What other issues or information should be considered when determining population stability or the financial ability for your community to pay for significant capital investments? Attach sheets as necessary. (Example: Seasonal population changes, natural resources (lakes, rivers), age of infrastructure, significant employment changes, etc.)</p>				
<p>8. Should an existing or proposed regional wastewater district be willing to connect, own, or operate your current facility, how likely would you be to consider this as an option?</p>	Very Unlikely	Unlikely	Likely	Very Likely