



MISSOURI DEPARTMENT OF NATURAL RESOURCES
 WATER PROTECTION PROGRAM, FINANCIAL ASSISTANCE CENTER
CLEAN WATER STATE REVOLVING FUND LOAN APPLICATION

FOR OFFICE USE ONLY	
DATE RECEIVED	
FINANCIAL CODE	
PRIORITY POINTS	

This application is for a Clean Water State Revolving Fund Loan described in 10 CSR 20-4.040.
 Submit application to Missouri Department of Natural Resources, Financial Assistance Center
 P.O. Box 176, Jefferson City, MO 65102-0176. Please type or print legibly.

1. APPLICANT INFORMATION

NAME OF APPLICANT		DUNS NUMBER	
Incorporated Municipality		Public Water/Sewer District	
APPLICANT TELEPHONE NUMBER WITH AREA CODE		APPLICANT FAX NUMBER WITH AREA CODE	
Ext.			
APPLICANT MAILING ADDRESS		APPLICANT EMAIL ADDRESS	
CITY	STATE	ZIP CODE + FOUR	COUNTY
AUTHORIZED REPRESENTATIVE NAME		AUTHORIZED REPRESENTATIVE TITLE	
NAME OF PERSON TO CONTACT ABOUT THIS APPLICATION		TELEPHONE NUMBER WITH AREA CODE	
		Ext.	

2. ARCHITECTURAL AND ENGINEERING CONSULTANT INFORMATION

CONSULTING FIRM'S NAME		CONSULTANT'S NAME	
CONSULTANT MAILING ADDRESS		EMAIL ADDRESS	
CITY	STATE	ZIP CODE + FOUR	
CONSULTANT TELEPHONE NUMBER WITH AREA CODE		CONSULTANT FAX NUMBER WITH AREA CODE	
Ext.			

3. GENERAL AND FINANCIAL INFORMATION

POPULATION (CURRENT CENSUS)		POPULATION OF AREA TO BE SERVED	
U.S. CONGRESSIONAL DISTRICT NUMBER(S)	STATE SENATE DISTRICT NUMBER(S)	STATE REPRESENTATIVE DISTRICT NUMBER(S)	
MEDIAN HOUSEHOLD INCOME (CURRENT CENSUS)		NUMBER OF CUSTOMERS	
CURRENT MONTHLY SEWER RATE (for 5,000 gallons) \$		PROPOSED MONTHLY SEWER RATE (for 5,000 gallons) \$	
SEWER REVENUES FOR MOST RECENT YEAR ENDED \$		FISCAL YEAR END FOR DATA USED ON APPLICATION	
SEWER OPERATING EXPENDITURES FOR MOST RECENT YEAR \$			
SYSTEM OUTSTANDING DEBT \$		ANY DEBT HELD BY USDA RURAL DEVELOPMENT?	
		Yes No	
EXISTING ANNUAL DEBT SERVICE \$			
WHAT IS YOUR COLLECTION DELINQUENCY RATE?		ARE YOU CURRENTLY FUNDING DEPRECIATION?	
%		Yes No	
FROM A FINANCIAL STANDPOINT, DO YOU HAVE A COMBINED WATER AND SEWER SYSTEM?			
Yes No			
Does the applicant have a fiscal sustainability plan? Yes No See Form MO 780- 2661 (06-16)			
<i>A fiscal sustainability plan or certification is not required when the department is purchasing debt obligations (e.g. municipal bonds)</i>			

4. PROPOSED PROJECT INFORMATION

Point Source Project		Non-Point Source Project	
Green Project Components (See Instructions)		Decentralized/Cluster Wastewater System	
Design-Build (See Instructions)		On-Site System Rehabilitation/Replacement	
Yes	No	Other Non-Point Source Project	

Project Description. Include Green Project Components, if applicable (Attach Engineering Report):

5. PERMIT INFORMATION

List National Pollutant Discharge Elimination System, or NPDES, Permit Number(s) of Water or Wastewater facilities affected by this project:

List Non-Permitted facilities to be eliminated by this project (attach list if necessary):

Name	Population Served	Type and Condition of Facility

6. PROJECT COST INFORMATION

Cost Estimate Dated:		Cost Breakdown for EPA Designated Categories	
Engineering Planning and Design	\$	I. Secondary Treatment	\$
Engineering (Construction Phase)	\$	II. Advanced Treatment	\$
Engineering Inspection	\$	IIIA. Inflow/Infiltration Correction	\$
Land and Easements	\$	IIIB. Sewer Rehabilitation	\$
Construction	\$	IVA. Collection Sewers	\$
Equipment	\$	IVB. Interceptor Sewers	\$
SRF Closing Costs (estimate 5 percent)	\$	V. Combined Sewer Overflow Correction	\$
Other Costs (specify)	\$	VI. Storm Water	\$
Contingencies	\$	VII. Non-Point Source	\$
Refinancing	\$	X. Effluent Recycling/Reuse Total Project Costs	\$
Total Project Costs	\$	Total Project Costs	\$
Funding Request (this application only)	\$	Funding Committed From Other Sources*	\$

* Documentation committing other funds must be submitted with the application.

7. DEBT INSTRUMENT

A. Bonds	B. Capital Improvements Sales Tax		
Date of Bond Election	Date of Election		
Type of Bond	Dedicated?	Yes	No
Amount of Bond \$	Sunset Provision?	Yes	No

C. Other (specify):

If a bond or tax issue has already been voted, please provide the following information.

Affidavit of Publication of the Notice of Election Notice to Election Authority Ordinance/Resolution Calling the Election
Copy of the Official Ballot Certified Election Results (Abstract of Votes)
Minutes and Notice of Meeting Approving the Ordinance/Resolution

8. BOARD TRAINING

List any board training(s) related to wastewater utility management that current board members have attended in the last three years:

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9. WATERSHED SUSTAINABILITY INFORMATION

WATER BODY AFFECTED BY PROPOSED PROJECT	Check if this is the receiving water body Check if the body is classified If affected water body is not classified, provide the nearest downstream water body
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Will the proposed project address an identified watershed problem? Yes No If yes, provide supporting documentation.

Does the proposed project serve more than one community? Yes No If yes, identify communities:

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Does the proposed project eliminate the need for multiple wastewater treatment facilities? Yes No

Does the proposed project include measures to reduce demand for POTW capacity through water conservation, efficiency, or reuse?
Yes No If yes, provide supporting documentation.

Does the proposed project include components for reusing or recycling wastewater, stormwater, or subsurface drainage water?
Yes No If yes, provide supporting documentation.

Does the proposed project include green infrastructure components? Yes No If yes, provide supporting documentation.

Does the proposed project include energy efficiency components? Yes No If yes, provide supporting documentation.

Does the proposed project address groundwater pollution? Yes No

GROUNDWATER IS USED FOR:

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OTHER PROBLEMS ADDRESSED:

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10. PROJECT TYPE (CHECK ALL THAT APPLY)

Combined sewer overflow/sanitary sewer overflow

Number of overflows per year: _____

Wastewater Treatment Facility (specify)

Antidegradation report submitted? Yes No N/A

 New facility

 Increase capacity/increase level of treatment

 Rehabilitation/process improvement

Failing or failed on-site wastewater disposal system

Percentage of systems failing: _____ %

 On-site system replacement/rehabilitation

 Construction of a decentralized wastewater system

 New collection system

Collection system rehabilitation primarily to address inflow/infiltration

New collection system

Upgrade or expansion of existing collection system

Storm water detention

Agricultural Best Management Practice

Landfill capping, leachate collection, side slope seepage prevention and control system, and monitoring wells

The project addresses groundwater pollution by:

 Addressing problems caused by petroleum storage tanks

 Addressing problems caused by a hazardous waste site participating in the department's Voluntary Cleanup Program

 Addressing water quality problems caused by inadequate landfill leachate collection systems

The project considers aquatic/riparian habitat by:

 Including measures to restore aquatic/riparian habitat and/or to prevent aquatic/riparian degradation

11. PROJECT SCHEDULE REQUIRED BY 10 CSR 20-4.040 (attach compliance schedule if applicable)

Milestone	Anticipated Date
A. Antidegradation Report (any new, expanded or upgraded wastewater treatment)	
B. Engineering Report and Facility Plan complete	
C. All other funding is secured (if necessary, bonds are voted)	
D. Engineering Plans and Specifications complete	
E. Construction start date	
F. Initiation of operations	
G. Project completion date	

12. THE FOLLOWING INFORMATION IS REQUIRED BY 10 CSR 20-4.040 AND MUST BE INCLUDED WITH THIS APPLICATION FORM:

A project summary that includes the need for the project :

 The project components including maps or drawings showing the project location

 A cost estimate including a cost breakdown

The most recent financial statement/audit

13. SUPPLEMENTAL INFORMATION – DOCUMENTATION MUST BE ATTACHED

User charge system budgets showing revenues and expenses for the past five years.

Documentation showing that an inflow/infiltration reduction program has been in place for the fast five years.

Water or Energy Conservation Plan

Proposed project is specifically identified in the applicant's master wastewater or capital improvement plan. (Master wastewater or capital improvement plan should be for a period of five or more years).

Documentation indicating the percentage of failed on-site wastewater disposal systems to be replaced or rehabilitated.

Governing board has received training related to the management and operation of wastewater infrastructure in the last three years.

CERTIFICATION:

The undersigned representative certifies that the information submitted in this application is true and correct to the best of his/her knowledge and that he/she is authorized to sign and submit this application. The applicant agrees, if a loan is awarded on the basis of this application, to comply with all applicable terms, conditions and procedures of the Department of Natural Resources, the applicable rules and regulations of the Missouri Clean Water Commission and the terms and conditions of the loan agreement.

Incomplete applications will be returned.

SIGNATURE OF AUTHORIZED REPRESENTATIVE	DATE
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NAME AND OFFICIAL TITLE (TYPE OR PRINT)	TELEPHONE NUMBER WITH AREA CODE Ext.
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PREPARER'S NAME AND SIGNATURE (IF APPLICABLE)

SIGNATURE OF PREPARER	DATE
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NAME AND TITLE (PRINT OR TYPE)	TELEPHONE NUMBER WITH AREA CODE Ext.
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Clean Water State Revolving Fund Loan Application Instructions for Form 780-1951

Note: Any funding assistance is subject to all State Revolving Fund (SRF) requirements. Potential applicants should contact the Missouri Department of Natural Resources' Financial Assistance Center prior to completing and submitting an application. Please contact the Financial Assistance Center at 573-751-1192 or toll free at 800-361-4827.

1. Print or type the applicant information. Include a street address if available. The applicant is the entity that will receive the loan funds, if awarded. Prior to receiving a loan, the entity must have a DUNS (Data Universal Numbering System) number. The DUNS number is a 9 digit number established and assigned by Dun and Bradstreet Inc., or D&B, to uniquely identify business entities. A DUNS number is available from D&B by telephone at 866-705-5711 or at <http://fedgov.dnb.com/webform>. The contact noted on the application should be knowledgeable about the application and able to be contacted during business hours.
2. Include the engineering firm name and the name of the professional engineer working on the project. Engineering firms must be procured in accordance with RSMo. 8.285 – 8.291.
3. The financial information will be used to determine the applicant's financial capabilities to carry out the proposed project. Please provide the most accurate information available.

Show the population of the entire service area. "The population to be served" will be different from the census population if the project is to sewer, or construct improvements in, a portion of the municipality or district.

Provide the state senate, state representative, and congressional district number(s) for the project area.

Provide the median household income based on the most recent decennial census.

The median household income data can be found online at https://factfinder.census.gov/faces/nav/jsf/pages/community_facts.xhtml.

Provide the current user rate for a 5,000 gallon user and what the estimated rate will be at project completion. Use the proposed rate if the project area is currently unsewered.

Please show the total sewer system revenues for the most recent year. Show when the accounting year ended if the fiscal year used is not the calendar year. If this is a new system, write in "new system."

Show the expenditures for the sewer system for the same fiscal year.

Outstanding Debt – Show the total debt on the wastewater system, or combined system.

Existing Annual Debt Service – Show annual payments (principal and interest) on all outstanding debt listed above.

List any other types of debt instruments and funding sources such as Neighborhood Improvement District, or NID, U.S. Department of Agriculture-Rural Development, Community Development Block Grants, etc. Supporting documentation should be attached to the application.

Collection delinquency rate is defined as bills that are never collected, not bills that don't get paid by the due date but are eventually collected later.

Check if the applicant has a combined water and sewer system.

Check if the applicant has a fiscal sustainability plan for the project if it involves the repair, replacement, or expansion of a publicly owned treatment works. Please see Form MO 780-2661 for reference.

4. Point source projects include those projects that directly or indirectly impact a National Pollutant Discharge Elimination System (NPDES), or NPDES, permitted facility. In addition, a proposed project that will ultimately result in the issuance of an NPDES permit is to be considered a point source project. A non-point source project is one that does not fit the point source project description, e.g., a project to rehabilitate or replace on-site wastewater systems, the construction of a decentralized (cluster) wastewater system, or riparian corridor restoration. Provide a brief project description. Green Project Components may include the following:
- Management of stormwater runoff at the local level through the use of natural systems, or engineered systems that mimic natural systems, to treat polluted runoff.
 - Water or energy efficiency improvements.
 - Environmentally innovative activities.

Check if the proposed project will be Design-Build as per Section 67.5070, RSMo. A “design-build contract” shall mean any contract that furnishes architecture or engineering services and construction services either directly or through subcontracts.

5. List the wastewater discharge permit numbers for all facilities affected by the proposed project.

List the non-permitted facilities to be eliminated by the proposed project.

6. Supply the cost estimates for the project. Land acquisition, surface and subsurface easements, places to store equipment and material during construction, land needed to locate eligible projects, and land integral to the treatment process are eligible for SRF funding. Funding recipients must certify compliance with the Uniform Relocation and Real Property Acquisition Act of 1970, P.L. 91-646, as amended. Call for additional guidance if land acquisition is related to a project to address non-point source pollution.

Provide a cost breakdown by category of need.

7. Provide information on existing or proposed ballot issues. If a bond or tax issue has already been voted, provide copies of all items listed at the top of page 3.

List other types of debt instruments and funding sources.

8. List any board trainings related to wastewater management that your board members have attended in the last 3 years.
9. Provide as much information as possible related to the watershed the project is located in, and the problems to be addressed by the project. Note if the water body is a classified water body per 10 CSR 20-7.031. This information will be used in determining the project priority in relation to other applications for funding.
10. Check the boxes that apply to the proposed project.
11. Provide the anticipated dates for the milestones listed. Put N/A in the space if the milestone isn't applicable to the project.

12. Information required by 10 CSR 20-4.040 must be submitted before the application will be prioritized.

This additional information, if provided, may allow for additional priority points. The applicant may submit other project related information that the applicant feels should be submitted with the application.

Incomplete Applications Will Be Returned

- Sign the application; attach any additional information that will enable the department to prioritize your wastewater needs.
- If you are using funds from U.S. Department of Agriculture-Rural Development or Department of Economic Development's Community Development Block Grant Program, be certain that you have included this information.
- Make a copy of the completed application for your records.
- Electronically transmitted applications will not be accepted.
- Mail the completed applications to:
Missouri Department of Natural Resources
Water Protection Program
Financial Assistance Center
P.O. Box 176
Jefferson City, MO 65102-0176

For More Information:

Missouri Department of Natural Resources
Water Protection Program
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800-361-4827 or 573-751-1192
FAX: 573-751-9396
www.dnr.mo.gov/env/wpp/srf/index.html