## INSTALLATION/COMPANY INFORMATION

1. NAME OF INSTALLATION  
2. INSTALLATION ID NUMBER

3. INSTALLATION/COMPANY MAILING ADDRESS  
4. CITY, STATE, ZIP CODE

5. CONSTRUCTION PERMIT NUMBER  
6. OPERATING PERMIT NUMBER

7. ACCOUNT ID NUMBER  
8. CONTACT PERSON

9. CONTACT PERSON'S TELEPHONE NUMBER  
10. CONTACT PERSON'S FAX NUMBER

11. CONTACT PERSON'S EMAIL ADDRESS

## CRITERIA AIR POLLUTANT

12. APPLICABLE POLLUTANT

## SITE LOCATION

13. STREET ADDRESS OR PHYSICAL LOCATION OF SITE  
14. PLANT/SITE ID NUMBER

15. COUNTY NAME  
16. 1/4 OF, 1/4 OF, SECTION, TOWNSHIP, RANGE

## PURPOSE OF NOTICE

17. CHECK ONE

- Notice and Certification of Generation (Form 4B)
- Notice of Withdrawal (Form 4D)
- Notice of Intent to Use ERCs (Form 4C)
- Notice of Transfer (Form 4E)

## FORM 4B: NOTICE AND CERTIFICATION OF GENERATION

18. ATTACH A BRIEF NARRATIVE DESCRIPTION OF THE EMISSION REDUCTION CREDIT (ERC) GENERATION ACTIVITY

19. The ERCs generated must be real, properly quantified, permanent, and surplus. Please contact the Operating Permit Unit of the Air Pollution Control Program to determine if the ERC generation activity requires modification of the operating permit.

20. START DATE OF PRE-GENERATION PERIOD  
21. FINISH DATE OF PRE-GENERATION PERIOD  
22. EMISSION RATE DURING PRE-GENERATION PERIOD

23. START DATE OF GENERATION PERIOD  
24. FINISH DATE OF GENERATION PERIOD*  
25. EMISSION RATE DURING GENERATION PERIOD

26. ERCS GENERATED

*ERC's must be permanent in accordance with state rule requirements.

## EMISSION REDUCTION CREDIT (ERC) QUANTITATION

27. CHECK ALL PROTOCOLS USED TO QUANTIFY ERCS AND PROVIDE COMPLETE CALCULATIONS (LISTED IN ORDER OF PRIORITY)

- 1. Continuous Emissions Monitoring System (CEMS)
- 2. Stack Tests
- 3. Material/Mass Balance
- 4. AP-42 or FIRE
- 5. Other EPA Documents
- 6. Engineering Calculations
- 7. Other Departmentally Approved Protocol

## COMMUNITY RIGHT-TO-KNOW PROVISIONS (40 CFR 372)

28. FOR EACH SOURCE SUBJECT TO REPORTING TOXIC CHEMICALS UNDER 40 CFR 372, ATTACH A REPORT ESTIMATING THE AMOUNT OF HAPS EMITTED AS A RESULT OF ERC GENERATION.

- Report Chemical Identity, as per 10 CSR 10-6.410(4)(C)10.
- Report estimate of HAPs emissions, in pounds, with calculations, during the ERC generation period.
**FORM 4C: NOTICE OF INTENT TO USE ERCS**

| 29. DATE(S) ERCS WERE ACQUIRED | 30. AMOUNT OF ERCS USED, WITH SERIAL NUMBERS |

31. Attach a copy of applicable Notice of Certification of Generation form(s). Identify applicable emissions unit(s) IAW 6.410(4)(C)2.

32. Attach a brief narrative listing the applicable state and federal requirements that the ERCs were used to comply with.

**EMISSION REDUCTION CREDIT (ERC) QUANTITATION**

<table>
<thead>
<tr>
<th>53. CHECK ALL PROTOCOLS USED TO QUANTIFY ERCS AND PROVIDE COMPLETE CALCULATIONS (LIST IN ORDER OF PRIORITY)</th>
</tr>
</thead>
<tbody>
<tr>
<td>☐ 1. Continuous Emission Monitoring System (CEMS)</td>
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<td>☐ 2. Stack Tests</td>
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<td>☐ 3. Material/Mass Balance</td>
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<td>☐ 7. Other Departmentally Approved Protocol</td>
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</table>

**COMMUNITY RIGHT-TO-KNOW PROVISIONS (40 CFR 372)**

34. FOR EACH SOURCE SUBJECT TO REPORTING TOXIC CHEMICALS UNDER 40 CFR 372, ATTACH A REPORT ESTIMATING THE AMOUNT OF HAPS EMITTED AS A RESULT OF ERC GENERATION.

- Report Chemical Identity, as per 10 CSR 10-6.410(4)(C)10.
- Report estimate of HAPs emissions, in pounds, with calculations, during the ERC generation period.

**FORM 4D: NOTICE OF WITHDRAWAL**

| 35. DATE(S) ERCS WERE ACQUIRED | 36. AMOUNT OF ERCS TO BE WITHDRAWN, WITH SERIAL NUMBERS |

37. Attach a copy of applicable Notice and Certification of Generation form(s). Identify applicable emissions unit(s) IAW 6.410(4)(C) and (4)(D)2.

38. Attach a brief narrative of the reason(s) for withdrawal.

**FORM 4E: NOTICE OF TRANSFER**

<table>
<thead>
<tr>
<th>39. NAME OF ACCOUNT HOLDER TRADING ERCS</th>
<th>40. ACCOUNT ID NUMBER</th>
</tr>
</thead>
<tbody>
<tr>
<td>41. TRADING ACCOUNT HOLDER’S MAILING ADDRESS</td>
<td>42. CITY, STATE, ZIP CODE</td>
</tr>
<tr>
<td>43. NAME OF ACCOUNT HOLDER RECEIVING ERCS</td>
<td>44. ACCOUNT ID NUMBER</td>
</tr>
<tr>
<td>45. RECEIVING ACCOUNT HOLDER’S MAILING ADDRESS</td>
<td>46. CITY, STATE, ZIP CODE</td>
</tr>
<tr>
<td>47. AMOUNT OF ERCS TO BE TRANSFERRED, WITH SERIAL NUMBERS</td>
<td></td>
</tr>
</tbody>
</table>

48. Attach a copy of applicable Notice and Certification of Generation form(s).

49. Attach a statement, signed by the Authorized Representative of the Account Holder Trading ERCs, agreeing to the requested transfer.

**SIGNATURE OF AUTHORIZED REPRESENTATIVE**

I attest that due to diligence has been made to verify that the ERCs referenced in this notice were not previously used, and they have not been generated in whole or in part from actions prohibited in 10 CSR 10-6.410, or other provisions of law.

<table>
<thead>
<tr>
<th>50. SIGNATURE</th>
<th>51. DATE</th>
</tr>
</thead>
<tbody>
<tr>
<td>52. TYPE OR PRINT NAME OF PERSON SIGNING</td>
<td>53. TITLE OF PERSON SIGNING</td>
</tr>
<tr>
<td>54. TYPE OR PRINT NAME OF ALTERNATE REPRESENTATIVE</td>
<td>55. TITLE OF ALTERNATE REPRESENTATIVE</td>
</tr>
<tr>
<td>56. TELEPHONE NUMBER</td>
<td>57. FAX NUMBER</td>
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