

**PUBLIC NOTICE OF REVISED  
CLEAN WATER STATE REVOLVING FUND  
REGIONALIZATION INCENTIVE GRANT GUIDANCE**

The Missouri Department of Natural Resources' Financial Assistance Center (FAC) hereby gives notice of its intent to update its Clean Water State Revolving Fund (CWSRF) Regionalization Incentive Grant (RIG) Guidance.

**Grant Authority:** The Water Resources Reform and Development Act of 2014 included an amendment to the Federal Water Pollution Control Act Section 603(i) that allows the state to provide CWSRF additional subsidization to any municipality for “implementing a process, material, technique, or technology to encourage sustainable project planning, design, and construction.” Under this authority, the RIG provides 100 percent construction funding to capable publicly-owned systems to construct a sewer extension to connect smaller public and private systems experiencing a compliance challenge.

**Grant Update:** The FAC launched the RIG in 2019. The grant provides 100 percent funding for plans, design, and construction of sewer extension projects to incentivize connections that reduce the number of small, struggling facilities through regionalization. The Department documented RIG eligibility and selection procedures in a document titled “Guidance for Clean Water State Revolving Fund Regionalization Incentive Grant,” adopted on October 31, 2019, after a public notice period and stakeholder meeting.

The Department is proposing a number of changes and refinements to the RIG guidance based on lessons learned during the first application cycle and a forthcoming new CWSRF Water Quality Incentive Grant offering. In addition, the Department is adding additional priority points for “targeted watersheds,” which will provide more points to those applicants removing discharges in these watersheds.

Stakeholders interested in commenting on the updated RIG guidance should submit those comments in writing to the via email at [fac@dnr.mo.gov](mailto:fac@dnr.mo.gov), or mail to Department of Natural Resources, Water Protection Program, Attention: Financial Assistance Center, CWSRF RIG, P.O. Box 176, Jefferson City, MO 65102-0176. Comments should include the commenter's name and organization they represent, if appropriate, and submitted no later than September 28, 2020.

The Department expects to finalize and implement the revised guidance by October 1, 2020, and post it to the FAC's webpage at <https://dnr.mo.gov/env/wpp/srf/wastewater-assistance.htm>.

Anyone requiring more information may contact the Department at 573-751-1192. Thank you.

# Clean Water State Revolving Fund Regionalization Incentive Grant Guidance



October 31, 2019

(Revised (date))

Division of Environmental Quality  
Water Protection Program  
Financial Assistance Center

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## **Clean Water State Revolving Fund Regionalization Incentive Grant Guidance**

### **Purpose:**

This guidance document provides the Missouri Department of Natural Resources' Financial Assistance Center (FAC) with a uniform and consistent approach to soliciting, evaluating, and awarding a Clean Water State Revolving Fund (CWSRF) Regionalization Incentive Grant.

### **Authority:**

The Department of Natural Resources competitively solicits and prioritizes use of CWSRF on an annual basis. The Federal Water Pollution Control Act (the Act) allows the state to provide a portion of CWSRF as financial assistance in the form of additional subsidization (i.e., grants, principal forgiveness, or negative interest loans).

The Department currently offers “affordability grants,” in conjunction with loan funding, to assist eligible applicants who would otherwise have difficulty financing projects to improve their own wastewater treatment system without additional subsidization per the Act Section 603(i)2. Grant eligibility is determined based on the CWSRF Grant Eligibility Evaluation form, and grant funds are allocated to projects on the annual CWSRF Intended Use Plan (IUP)'s fundable list if funds are available. The guidance is available at <https://dnr.mo.gov/env/wpp/srf/wastewater-assistance.htm>.

In addition to the affordability grant, the Department has the opportunity to offer grants for other purposes through the CWSRF. The Water Resources and Development Act of 2014 included an amendment to the Act Section 603(i)(1)(B) that allows the CWSRF program to provide a certain percentage of its total capitalization grant award as additional subsidization to a municipality to implement a process, material, technique, or technology to encourage sustainable project planning, design, and construction. The Department utilizes this authority to offer the CWSRF Regionalization Incentive Grant.

The Department determines the amount of capitalization grant that will be allocated to additional subsidization each year based on federal appropriation and Missouri's CWSRF program needs. The annual additional subsidization amount is documented in the CWSRF IUP, and approved by the Clean Water Commission. Each year, the Department's first priority for available CWSRF additional subsidization will be to provide grants based on affordability to municipalities repairing, replacing, or improving their own wastewater and stormwater infrastructure. The Department will establish the application deadline for the CWSRF regionalization incentive grant in the CWSRF IUP each year. The Department will competitively score applications using a procedure established within this guidance document (see “Prioritization Process”).

**Intent:**

The Department encourages regionalization where practical, especially projects involving small entities with affordability challenges. This funding opportunity is intended to provide municipalities with an incentive to construct connections for small, struggling facilities who currently discharge effluent to Missouri waterways. The entities responsible for these small facilities often lack the financial and technical resources to upgrade their wastewater treatment facilities to meet more stringent limits and operate their facilities appropriately. These facilities typically serve such a small number of connections that the cost to comply with permit conditions is a significant financial challenge for the users.

The primary focus of the grant is on incentivizing projects that would not be completed without this funding and reducing the number of small facilities that are currently under or likely to come under enforcement action with the Department. Grant results will include a reduction in the number of point sources releasing pollutants to the State's waterways, increased operating efficiency, and stimulation of opportunities for economic development.

**Definitions:**

- **Applicant** – The municipality proposing to build a connection or connections for the purpose of receiving and treating wastewater from another facility or facilities outside of its political boundary.
- **Connecting entity** – An existing discharging wastewater treatment facility that is permitted or should be permitted by the Department that the applicant proposes to provide with wastewater treatment after construction of a conveyance for effluent.
- **Municipality** – As defined by 40 C.F.R. 35.2005(27), a “city, town, borough, county, parish, district, association, or other public body (including an intermunicipal agency of two or more of the foregoing entities) created under State law, or an Indian tribe or an authorized Indian tribal organization, having jurisdiction over disposal of sewage, industrial wastes, or other waste, or a designated and approved management agency under Section 208 of the Act.”
  - (i) This definition includes a special district created under State law such as a water district, sewer district, sanitary district, utility district, drainage district or similar entity, or an integrated waste management facility, as defined in Section 201(e) of the Act, which has as one of its principal responsibilities the treatment, transport, or disposal of domestic wastewater in a particular geographic area.
  - (ii) This definition excludes the following:
    - Any revenue producing entity which has as its principal responsibility, an activity other than providing wastewater treatment services to the general public, such as an airport, turnpike, port facility or other municipal utility.
- **Permitted wastewater treatment facility** – A domestic wastewater treatment facility that is required to have a Missouri State Operating Permit issued by the Department.

- **Private wastewater treatment facility** – A domestic wastewater treatment facility owned by a Homeowner’s Association (HOA), an individual, a mobile home park, etc. that does not generate profits for the owner. Facilities owned or operated by a for-profit utility company regulated by the Public Service Commission are excluded from this definition.
- **Satellite Community** – A municipality that transports or sends its wastewater flow to another municipality for treatment of its wastewater.

**Eligibility:**

Certain municipalities (see “Definitions” section of this document) in Missouri who have capacity to accept and treat wastewater from proposed connection(s) are eligible to apply for this grant. The applicant is the municipality proposing to build the connection for the purpose of receiving and treating wastewater from another discharging facility, and will be the recipient of all grant funds. Facilities to be eliminated by the proposed connection cannot apply as the applicant. Satellite communities cannot apply as the applicant since the municipality does not have the ability to treat the accepted flow, nor does the municipality have the authority to document available capacity to treat the additional flow.

**Eligible Costs:**

This grant will fund 100 percent of all eligible costs. Examples of eligible costs include planning, designing, and constructing the sewer connection (with documentation of proper procurement of engineering services per §8.285–8.291 of the Revised Statutes of Missouri (RSMo) by the applicant), applicant’s legal costs associated with negotiation and execution of a service agreement, and land acquisition or easements acquired according to the Uniform Relocation Assistance and Real Property Acquisition Act of 1970. The costs of decommissioning is eligible when the facility to be decommissioned is a publicly owned facility, as there are federal limitations on these funds [40 C.F.R. §35.3125(c)]. See 10 CSR 20-4.040(21)(B), for specific cost eligibility information.

Connection fees charged by the applicant to the connecting entity are not an eligible cost. The applicant is encouraged to waive such fees since the grant provides 100 percent of construction costs.

Per the Act Section 602(b)(13), in order to be funded through the CWSRF, projects must be cost effective. The Department will compare the cost for the construction of the proposed connection with the estimated cost to repair, replace, or upgrade the wastewater treatment facility that is to be eliminated. The applicant’s facility plan should estimate the cost to repair, replace, or upgrade the wastewater treatment facility that is being connected if it is a publicly owned facility. Estimated cost for privately owned facilities may be provided by consultation with Department engineering staff. Any connection project with a total estimated cost that is 110 percent or greater than the cost to upgrade the facility will be deemed ineligible.

Per the Act Section 204(a), projects will only be deemed eligible if the size and capacity of such works relate directly to the needs to be served by such works, including sufficient reserve capacity. Projects designed for potential development are not eligible.

### **Application Procedure:**

The Department will establish the application deadline for the CWSRF regionalization incentive grant in the CWSRF IUP each year, and will make an annual public announcement regarding the availability of this funding. Applications will be for funds to:

1. Develop a facility plan (if one has not already been completed); and
2. Design and construction of the infrastructure connection that will convey wastewater effluent from the connecting entity's facility to the applicant's wastewater treatment plant.

The application may be accessed at <https://dnr.mo.gov/env/wpp/srf/documents/regionalization-grant-application-780-2881.pdf>. Applications must be signed by both the applicant and the owner(s) of the wastewater facility(ies) to be connected by the regionalization grant; this ensures both parties have a mutual interest in the regional connection prior to the commitment of funds.

### **Application Processing:**

Applications received by the Department by the established deadline will be date stamped and logged. The CWSRF Regionalization Incentive Grant Coordinator will make an initial review of all the applications for completeness, eligibility, and for distance of the sewer connection. Applications must be complete in order to be included for prioritization.

The maximum distance that will be considered for proposed projects is 5 miles from the applicant's collection system to the facility to be connected. Applications for connections greater than 5 miles may be evaluated on a case-by-case basis at the Department's discretion.

### **Prioritization Process:**

The Department will prioritize applications using a 2-step process that assigns a category and a priority point score.

First, the Department will assign each eligible project a category. The Department will assign top priority to projects which eliminate facilities in Category 1; high priority to projects which eliminate facilities in Category 2; and moderate priority to projects which eliminate facilities in Category 3.

**Category 1:** The entity (or entities) the applicant proposes to connect is a private, municipal, or school wastewater treatment facility, which is outside of the applicant's service area, and is under enforcement with the Department or the U.S. Environmental Protection Agency.

**Category 2:** The entity (or entities) the applicant proposes to connect is a public (i.e., municipal or school) wastewater treatment facility, which is outside of the applicant's service area, is not under enforcement and has a Schedule of Compliance in their permit to upgrade for more stringent limits.

**Category 3:** The entity (or entities) the applicant proposes to connect is a private wastewater treatment facility, which is outside the applicant's service area, is not under enforcement and has a Schedule of Compliance in their permit to upgrade for more stringent limits.

Second, the Department will prioritize projects within each category based on priority points (See Attachment 1, CWSRF Regionalization Incentive Grant Application Prioritization Scoring). The Department will assign priority points to each application based on the characteristics of the facility or facilities being eliminated, including the following:

- The Department will award receiving stream points based on the “Beneficial Uses,” “Sensitive Waters,” and “Targeted Waterbodies” of the receiving stream(s) of the facility(ies) to be eliminated. If the project eliminates multiple permitted facilities that discharge to different water bodies, the receiving stream with the highest point total will be used.
- The Department will award points based on the need for regionalization for each wastewater treatment facility(ies) to be eliminated by the proposed project in the following categories: “under Department enforcement,” “not under Department enforcement but has current Schedule of Compliance to meet more stringent permit limits,” and “not under Department enforcement.” Facilities designated “not under Department enforcement” include facilities that are operating in compliance with their permit and facilities in noncompliance but that do not have Schedules of Compliances in their permits.
- Grant funds are intended for elimination of discharging facilities with a Missouri State Operating Permit or facilities that should have a Missouri State Operating Permit, not on-site septic or lagoon facility(ies) permitted under the authority of the Department of Health and Senior Services. Thus, on-site septic or lagoon facility(ies) may be connected to the applicant's facility but these connection(s) must be made using another funding source.
- The Department may award additional points for readiness to proceed if the applicant submits an application accompanied by a facility plan and/or executed negotiated service agreement(s) for all the facility or facilities listed in the application. The service agreement must include the Department's “Minimum Service Agreement Requirements” (see below) to be eligible for the service agreement points.
- The Department will award priority points based on the financial need of the connecting entity<sup>1</sup>, primarily utilizing its average Median Household Income (MHI) in

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<sup>1</sup> The Department may request additional documentation about the financial situation of the facility or facilities to be connected in order to fully and accurately assess financial need.



relation to the State's MHI. If multiple entities are being connected, the MHI will be determined by using the average of all the connecting facilities' MHIs, weighted by the current number of connections. Department staff will utilize publicly available American Community Survey (ACS) data gathered by the U.S. Census Bureau because it is the most comprehensive source, is periodically updated, and is publicly available on the Census Bureau website ([www.census.gov/programs-surveys/acs/](http://www.census.gov/programs-surveys/acs/)). When census information specific to the applicant is not available (e.g., sewer districts, private facilities, etc.) and a survey has not been conducted, staff will reference the county's ACS data. Although county data does not offer an exact representation of the service area, it is the most readily available data to the Department. If the applicant believes that the ACS data does not accurately reflect the demographics of the area, the applicant may submit an income survey conducted using a Department-directed methodology (available upon request). If provided, the income value from the survey will replace the census MHI in the Department's evaluation.

- The Department will award additional priority points to applicants who have had a prior or current State Revolving Fund loan.

When completed, the Department will total point values to provide the applicant's priority score, and rank applications first by category and then by score.

#### **Federal and State Requirements:**

A number of federal and state laws and executive orders apply to projects receiving federal financial assistance through the CWSRF. Federal requirements that may apply to all recipients include the Davis Bacon Act, American Iron and Steel, Disadvantaged Business Enterprise, Environmental Review, Cost and Effectiveness, Public Awareness, Fiscal Sustainability Plans, Single Audit, various environmental statutes, the Uniform Relocation and Real Property Acquisition Policies Act, Debarment and Suspension, Executive Order 12549, restrictions on lobbying, and others. Applicants assigned grant funds will receive instructions from the Department for all CWSRF requirements that must be met in order to receive funding.

Applicants and connecting entities must also comply with any applicable state laws, such as the hearing and public notice procedures for establishing user charges in accordance with Section 250.233, RSMo.

#### **Grant Assignment/Intended Use Plan Listing:**

The Department will list applicant projects in priority order within each category, and will allocate grant funds to qualifying applicants, starting with Category 1 projects assigned the highest priority point totals. The Department will allocate funds in descending order through the grant priority list until all annually available grant funds have been allocated or until all eligible applicants have grant funds allocated to them, whichever comes first. However, if the available funds are less than the eligible project cost for any given project, the Department will bypass the project and allocate funds to the next project for which funds are sufficient. The number of

applicant(s) selected each application cycle will vary based on available funds. The Department also reserves the right to use its discretion to bypass higher scoring applications with lower scoring applications, if necessary, to protect human health and the environment.

The Department will provide the applicant written notification that the grant funds are committed to the project upon Clean Water Commission approval of a CWSRF Intended Use Plan obligating funds to the project. Upon notification of the funding commitment, applicants must meet the following schedule in order to utilize allocated grant funds:

- Applicants assigned grant funds for a facility plan will have 1 year from the date the project is listed in the IUP to procure engineering services and develop a facility plan. Upon submittal of a complete and approvable facility plan, pending sufficient available additional subsidization funds, the project will be moved to either the contingency or fundable list of the IUP.
- Applicants assigned grant funds for a construction project will have 2 years from the date the project is listed on the IUP to navigate the CWSRF project approval process (environmental review, design, bidding, submittal of compliance forms, etc.), receive the grant award, and begin construction of the selected alternative. Construction grant funds must be spent within 3 years of award.
  - Projects will be placed on the Fundable List when the applicant has an accepted facility plan and a signed service agreement(s) with the connecting entity(ies).
  - Projects will be placed on the Contingency List when the applicant has an accepted facility plan but has not entered into formal service agreement(s) with the connecting entity(ies). The applicant will have 1 year listed on the Contingency List to reach an agreement. If after one year a formal agreement(s) cannot be reached by the applicant and all connecting entity(s), the project will be removed from funding consideration.

### **CWSRF Grant-Funded Facility Plans:**

Upon completion of the facility plan, the grant recipient or grant recipient's engineer will submit the facility plan to the Department. The Department will review and comment on the facility plan. Once all comments are addressed, the engineer must submit a completed Cost and Effectiveness form (see <https://dnr.mo.gov/forms/780-2660-f.pdf>). The Department will review the proposed project's cost and effectiveness and will either:

1. Concur that the connection alternative project is the most cost effective and provide the applicant with directions for seeking environmental clearance letters;  
or
2. Not concur that the project is the most cost effective and notify the applicant that the Department cannot fund the construction of the project. The applicant may then either choose to self-fund the project and proceed without the grant funds.

Failure to make timely progress may result in project bypass and/or loss of grant funding. In this event, the applicant will need to re-compete for grant funds by re-applying during the next application cycle. The Department may remove projects from a funding list on the IUP at the

request of the applicant, if the Department finds that the proposed project is ineligible for CWSRF assistance, or if the Department determines that the project is not making timely progress.

**Minimum Service Agreement Requirements:**

1. User rates and fees (wholesale or per connection)
  - a. Negotiated and agreed upon user rates
  - b. Negotiated and agreed upon fees (if applicable)
  - c. User rates must be fair and equitable
    - i. Should be proportional and based on actual use
  - d. Clause explaining when rates and fees may be renegotiated, and explain the basis for rate and fee (if applicable) increases
  - e. Explain how rates and fees (if applicable) will be invoiced and collected
  - f. Describe any penalties for unpaid rates and fees (if applicable)
2. Operation and maintenance responsibilities will be clearly established
3. The agreement duration must be at least 20 years. Per 10 CSR 20-4.040(25)(C), if the agreement is terminated prior to 20 years, the total amount of grant funds must be reimbursed to the state on a straight-line depreciation based on the original grant award on a 20-year straight-line depreciation schedule. Grant funds to be reimbursed shall be due upon termination of the agreement.
4. The municipality and connecting entity(ies) must agree to one of the following scenarios:
  - a. Scenario 1
    - i. Municipality agrees to:
      1. Operate and maintain its portion of the collection facility
      2. Provide service for the duration of the agreement. This may require ordinance changes.
    - ii. Connecting entity(ies) agrees to:
      1. Operate and maintain its portion of the collection facility
      2. Connect and remain connected for the duration of the agreement. Also, the connecting entity must ensure that any new development in the entity's control will be required to connect (i.e., if currently undeveloped lots in a Home Owners Association's (HOA) subdivision are later developed, the HOA must require these homes to connect, rather than build their own individual or shared treatment system. This may require HOA covenant changes.)
  - b. Scenario 2
    - i. Municipality agrees to:
      1. Own the collection system, and operate and maintain the entity's collection system

2. Provide service for the duration of the agreement. This may require ordinance changes.
- ii. Connecting entity(ies) agrees to:
  1. Transfer ownership and easements related to the collection facility to the municipality
5. Right to access/easements
6. (Private facilities only) Certify that responsibility for cost to decommission or properly close wastewater treatment facilities will be addressed by connecting entity.

### **Additional Items to Consider when Negotiating Service Agreement**

1. Availability for future connections
  - a. Identify potential capacity for future connections
  - b. Define a process and schedule for future to connections (if applicable)
  - c. Address cost to connect
    - i. Tap on fees (if applicable)
    - ii. Change in wholesale rate (if applicable)
2. Basic communication requirements (i.e., provide examples of topics to cover)
3. Compliance and reporting responsibilities and requirements
  - a. Which entity is responsible for reporting Sanitary Sewer Overflows
4. Rates and fees
  - a. Notice period prior to rate change
  - b. Negotiation/mediation procedures in the event disagreements cannot be resolved by the involved parties
5. Waste loadings
  - a. Permissible versus prohibited wastes
  - b. Pretreatment
6. Representations regarding annexation or annexation intention
7. Current and future Infiltration and Inflow impacts
8. Responsibility for future capital costs
9. Requirement for each entity to maintain a functional governing body that is able to meet all contractual obligations for the duration of the agreement

### **Facility Plan Requirements:**

1. Must be prepared in accordance with 10 CSR 20-8.110, Chapter 8 Minimum Design Standards
2. Must assess and verify applicant's Wastewater Treatment Facility (WWTF) has capability to accept the wastewater from the facility(ies) to being connected, even given reasonable anticipated growth

3. Discuss the existing infrastructure within facility(ies) to be connected
4. Discuss any easement(s) and/or land acquisition(s) that are anticipated as part of the proposed regionalization project
5. Discuss the project including a base project and alternate project(s) (connecting additional facilities) if applicable, and the cost benefit of the connection
6. Project costs
  - a. Discuss costs of any easement(s) and/or land acquisition(s)
  - b. Discuss cost comparison of alternatives (including operation and maintenance and estimated present worth):
    - i. Upgrading the facility proposed to be connected
    - ii. Land application
    - iii. Regionalization
  - c. Discuss costs associated with closure or alternative use of facility's existing WWTF
  - d. Total project cost
    - For projects proposing to connect multiple facilities, the facility plan must be presented as a base project (minimum desired project) with additional add-on projects due to the uncertainty of available funds. The facility plan should include the cost of the base project and the cost of adding each additional facility to the base project
  - e. Discuss costs associated with operation and maintenance of the proposed collection line and any additional costs associated with the flow at the plant
7. Discuss Cost and Effectiveness Analysis
  - a. If the most direct route is not taken to construct the connection to the facility, provide an explanation of why the most direct route is not the most cost effective
  - b. Cost and Effectiveness Certification Form
8. Project Schedule
9. A Plan of Study per 10 CSR 20-4.040(27)

**Attachment 1**

**CWSRF Regionalization Incentive Grant Application Prioritization Scoring  
(to be completed by Department staff)**

Applicant: \_\_\_\_\_ DUNS #: \_\_\_\_\_

Received Date: \_\_\_\_\_

Project Name: \_\_\_\_\_

Project Description: \_\_\_\_\_

**Is the facility (or facilities) to be connected within 5 miles of grant applicant’s collection facility?**

Yes  No

**Is the proposed project primarily for existing development and not primarily for future development?**

Yes  No

**Is the applicant/project eligible?**

Yes  No

Required information to be submitted with application:

Project Summary includes:

- Need for the project
- Maps or drawings showing the location

Authorized Rep. Ordinance/Resolution

Application signed by both Authorized Rep. and Owner(s) of systems

**Priority Determination**

For all categories: The applicant is a municipality. Applicants may submit an application without a facility plan and be awarded an engineering grant for the development of a facility plan.

**Category 1:** The entity (or entities) the applicant proposes to connect is a private, municipal, or school wastewater treatment facility, which is outside of the applicant’s service area, and is under enforcement with the Department or the U.S. Environmental Protection Agency.

**Category 2:** The entity (or entities) the applicant proposes to connect is a public (i.e., municipal or school) wastewater treatment facility, which is outside of the applicant’s service area, is not under enforcement and has a Schedule of Compliance in their permit to upgrade for more stringent limits.

**Category 3:** The entity (or entities) the applicant proposes to connect is a private wastewater treatment facility, which is outside of the applicant’s service area, is not under enforcement and has a Schedule of Compliance in their permit to upgrade for more stringent limits.

No. of facility(ies) to be eliminated in each Category: 1 \_\_\_\_\_ 2 \_\_\_\_\_ 3 \_\_\_\_\_

**Description** (For items 1-3: If the project eliminates multiple permitted WWTFs which discharge to different water bodies, then the highest point total of the multiple water bodies will be used.)

1. **Beneficial Uses** of the water body receiving discharge from existing Wastewater Treatment Facility(ies) (WWTFs) to be eliminated by the proposed project. WWTFs could be permitted or unpermitted. (The value is calculated by adding the total values expressed under this part.)

Points

Whole Body Contact Recreation = 15 pts. \_\_\_\_\_

Drinking Water Supply = 15 pts. \_\_\_\_\_

Cold Water Habitat = 10 pts. \_\_\_\_\_

Secondary Contact Recreation = 10 pts. \_\_\_\_\_

Cool Water Habitat = 10 pts. \_\_\_\_\_

Protection of Warm Water Habitat and Human Health Protection = 10 pts. \_\_\_\_\_

Each additional beneficial use not listed above and identified in rule 10 CSR 20-7.031 = 5 pts each. \_\_\_\_\_

2. **Sensitive Waters.** Proposed project that eliminates existing WWTF(s), which directly discharge to certain sensitive waters, will be assigned additional priority points.

Losing Streams = 15 pts. \_\_\_\_\_

Outstanding National Resource Waters = 15 pts. \_\_\_\_\_

Outstanding State Resource Waters = 15 pts. \_\_\_\_\_

Lakes or Metropolitan No-discharge Streams = 10 pts. \_\_\_\_\_

3. **Targeted Waterbodies.** A targeted water body is one where the receiving stream is listed on the most recent 303(d) list or has an approved Total Maximum Daily Load (TMDL). (No more than 15 points shall be awarded.)

Receiving stream is listed on the most recent 303(d) list and removal of point source project addresses impact but a TMDL has not been approved = 10 pts. \_\_\_\_\_

TMDL has been approved for receiving stream and removal of point source project addresses impact = 15 pts. \_\_\_\_\_

4. **Targeted Watersheds.** A targeted watershed contains at least one point source that has the reasonable potential to cause or contribute to excursion of the lake numeric nutrient criteria or nutrient permit limits, or subject to an U.S. Environmental Protection Agency approved nutrients TMDL. (No more than 15 points shall be awarded.)

The watershed drains to a lake where numeric nutrient criteria are applicable and the proposed project results in nutrient reduction = 15 pts. \_\_\_\_\_

TMDL has been approved for the watershed and the proposed project is expected to contribute to the nutrient reduction goals specified in the TMDL = 10 pts. \_\_\_\_\_



**5. Need for Regionalization (exclude all Dept. Health and Senior Services permitted facilities)**

Each WWTF eliminated that is under enforcement with the Department = 20 pts.

No. Eliminated \_\_\_\_\_ X 20 pts. = \_\_\_\_\_

Each WWTF eliminated that does not currently have a Missouri State Operating Permit but should and is not currently under Department enforcement = 15 pts.

No. Eliminated \_\_\_\_\_ X 15 pts. = \_\_\_\_\_

Each WWTF eliminated that has a Schedule of Compliance in the permit to meet more stringent limits and not currently under Department enforcement = 10 pts.

No. Eliminated \_\_\_\_\_ X 10 pts. = \_\_\_\_\_

Each WWTF eliminated that is not under Department enforcement = 5 pts.

No. Eliminated \_\_\_\_\_ X 5 pts. = \_\_\_\_\_

**6. Readiness to Proceed**

Municipality has an executed negotiated agreement for service meeting Department minimum requirements with residents of the facility(ies) to be eliminated = 25 pts.

(All facility(ies) to be connected must have an agreement to award points)

\_\_\_\_\_

Facility plan has already been developed for the facility(ies) proposed to be eliminated, and is less than 5 years old = 25 pts.

(Must be submitted with application to award points)

\_\_\_\_\_

**7. Financial Need** of the facility(ies) being connected determined by the facility's(ies') MHI compared to the state's MHI determined by American Community Survey data. If multiple facilities are being connected, the MHI will be determined by using the weighted average of all the facilities' MHI in respect to the facilities' current number of connections.

Average MHI <50% State's MHI = 50 pts.

\_\_\_\_\_

Average MHI 50% to <75% State's MHI = 25 pts.

\_\_\_\_\_

Average MHI 75% to 100% State's MHI = 10 pts.

\_\_\_\_\_

Average MHI >100% State's MHI = 0 pts.

\_\_\_\_\_

**8. Additional Priority Points**

Applicant has/had a successful State Revolving Fund Loan = 10 pts

\_\_\_\_\_

**Total Points:**

Tie Breaker(s): In the event that there is a tie in priority points within a category, the Department will use the following tie breakers starting with No. 1 below. If tie breaker No. 1 does not break the tie, the Department will move down the list of other tie breakers (as necessary) until the tie is broken.

1. Number of connecting entities whose discharges will be eliminated = \_\_\_\_\_
  
2. Total number of connections of all connecting entities = \_\_\_\_\_
  
3. Total flow that will be diverted to the applicant's treatment works = \_\_\_\_\_  
(Flow will be based on the connecting facility(ies) permitted design flow(s). The flow from all unpermitted facility(ies) will be considered as 0.)