



STATE OF MISSOURI  
**DEPARTMENT OF NATURAL RESOURCES**

Matt Blunt, Governor • Doyle Childers, Director

www.dnr.mo.gov

**MEMORANDUM**

**DATE:** December 23, 2005

**TO:** Financial Assistance Center Staff

**FROM:**  Edward Galbraith, Director  
Water Protection Program

**SUBJECT:** Reduction of Reviews for Entities with an Approved Permit Program for Sewers

During the Kaizen event many ideas were exchanged regarding ways to streamline the State Revolving Fund (SRF) process. There was considerable discussion around reducing technical reviews of entities that have their own competent and qualified technical staff. We have not come to a point where we have been able to make any final decisions, however, it has been brought to my attention that the permit regulations provide for certain continuing authorities to administer their own sewer extension permit program when approved by the department.

I would like to take this opportunity to point out to the staff of the Financial Assistance Center that construction permits and technical reviews of sewers are not required for continuing authorities with approved permit programs. The continuing authorities, which may have an approved permit program, are as follows:

- Municipality which has been designated as the area wide management authority under Section 208c of the Federal Clean Water Act;
- Public Sewer District which has been designated as the area wide management authority under Section 208c of the Federal Clean Water Act;
- Municipality which currently provides sewage collection and/or treatment on a regional or watershed basis in accordance with 10CSR20-6.010(3)(C) and approved by the Missouri Clean Water Commission; and
- Public Sewer District which currently provides sewage collection and/or treatment on a regional or watershed basis in accordance with 10CSR20-6.010(3)(C) and approved by the Missouri Clean Water Commission.

Financial Assistance Center Staff  
Page Two

If you are in doubt as to which city or sewer district may have an approved sewer permit program, check with the appropriate regional office to find out which entities they have approved. Please keep in mind that this change provides for consistency with how sewer permit exemptions are handled in the regional offices.

Attached is a copy of a letter that I am sending to the cities explaining this action and how it affects the SRF process. In general, I will expect you to continue implementing the requirements of the SRF regulations which includes processing facility plans as usual, reviewing the contract documents for compliance with SRF requirements, and obtaining four sets of the final plans and specifications for eligibility determinations and inspection purposes. You will also need to make sure during facility plan review that the proposed construction will not cause the treatment plant to exceed its designed dry weather capacity.

This action is just one of possibly many actions that we may take over the next few months to continue streamlining the SRF process and to provide for better public service. I will continue to welcome and listen to new ideas and approaches that will make our efforts faster and more effective.

EG:LN:mco

c: Kansas City Regional Office  
St. Louis Regional Office  
Northeast Regional Office  
Southeast Regional Office  
Southwest Regional Office  
Mr. Peter Goode, Water Protection Program  
Mr. Refaat Mefrakis, Water Protection Program

Date

Name of City or Sewer District

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Re: Construction Permit Exemption for Sewers Constructed with State Revolving Fund Loans or State and Federal Grants

Dear \_\_\_\_\_

In our ongoing effort to make our loan and grant services more efficient and timely, we have examined our procedures and regulations to streamline processes. One of the items that we discovered was that all entities obtaining grants and loans were required to get construction permits for sewers, but the regional offices were exempting certain entities from obtaining sewer construction permits. After staff researched the regulations, we determined that any entity that has a department approved sewer construction permit program is, by rule, exempted from obtaining a state construction permit for sewers constructed with state and federal funding.

Since your community has a department approved construction permit program for sewers, you are not required to submit a construction permit application or submit plans and specification for technical review and approval. You will still need to submit the contract documents and specifications for an assurance review in accordance with the State Revolving Loan regulations found at 10CSR20-4.040. We will also need four sets of the final plans and specifications for eligibility determinations and for inspection purposes. We will place an "Approved" stamp on the four sets for plans and specifications with one set being kept on file here in the central office and three sets will be distributed to the consulting engineer, applicant and regional office.

We believe that the above change in procedures will provide for fewer delays in obtaining loans and grants from the department.

Sincerely,

WATER PROTECTION PROGRAM

Edward Galbraith  
Director

EG/LN/mco

c: \_\_\_\_\_ Regional Office  
Mr. Peter Goode, Water Protection Program  
Mr. Refaat Mefrakis, Water Protection Program

