

Missouri Clean Water Commission
Truman Hotel and Conference Center
1510 Jefferson Street
Jefferson City, Missouri 65109

September 5, 2012

**State Fiscal Year 2013 Clean Water State Revolving Fund
Intended Use Plan Fund Transfer**

Issue: State Fiscal Year 2013 Clean Water State Revolving Fund Intended Use Plan Fund Transfer.

Background: As adopted at the May 2, 2012 Clean Water Commission meeting, the State Fiscal Year 2013 Clean Water State Revolving Fund Intended Use Plan contained approximately \$138 million of projects that met the readiness to proceed criteria yet funding was not available for them. Department staff has completed an analysis of both the Drinking Water State Revolving Fund (DWSRF) and the Clean Water State Revolving Fund (CWSRF) to determine if a fund transfer from the DWSRF to the CWSRF would be possible. Based on that analysis, and in consultation with U.S. Environmental Protection Agency, staff determined that up to \$22.5 million in federal funds could be transferred.

As part of the analysis, staff reviewed the project lists of both State Revolving Fund programs. Based on that review, staff recommended and the Safe Drinking Water Commission approved the transfer of \$18.5 million from the DWSRF to the CWSRF program. While this fund transfer will not satisfy the current financial need it will enable a number of CWSRF projects to proceed.

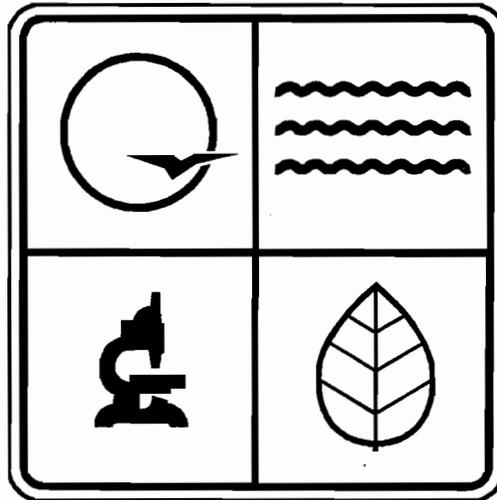
Recommended Actions: Staff recommends that the Commission:

- Accept the transfer of \$18.5 million in federal funds from the DWSRF program and apply these funds to projects currently on the Fundable Contingency List.
- Reserve the ability to transfer an additional \$4.0 million in the future.
- Based on the available fund balance created by the transfer, and previously approved project list changes, move the following projects from the Fundable Contingency list to the Fundable list:
 - Lake Ozark, C295646-02, with an eligible project cost of \$2,722,674;
 - Boone County RSD (Highway HH Phase I), C295373-13, with an eligible project cost of \$4,901,400;
 - Kirksville (Phase 8), C295250-10, with an eligible project cost of \$1,422,000;
 - Sunrise Beach, C295540-01, with an eligible project cost of \$6,102,000; and,
 - Boone County RSD (Rocky Fork Cr.), C295375-12, with an eligible project cost of \$11,431,926.

Suggested Motion Language: I move to approve the transfer of funds from the Drinking Water State Revolving Fund, reserve the ability to transfer an additional \$4.0 million and, adjust the project lists in the State Fiscal Year 2013 State Revolving Fund Project Lists as proposed.

Attachments:

- Revised State Fiscal Year 2013 Clean Water State Revolving Fund Intended Use Plan project lists.



Missouri Department of Natural Resources

Missouri State Fiscal Year 2013

(July 1, 2012 – June 30, 2013)

Clean Water State Revolving Fund Intended Use Plan and Priority List

Adopted May 2, 2012

Proposed Amendment September 5, 2012

Distribution of Capitalization Grant and Loan Repayment Funds

Funds will be distributed to projects that are moved to the Fundable List by the Clean Water Commission. Sources and distribution of funds are as of Dec. 31, 2011.

Fiscal Year 2013 Intended Use Plan Sources And Distribution Of Funds

| Description | Current | Anticipated | Balance |
|---|------------------|--------------------------|---|
| Capitalization Grants Funds (federal portion only) | | | |
| 2009 | \$ 11,047,729 | | |
| 2010 | \$ 51,044,962 | | |
| 2011 | \$ 40,936,000 | | |
| 2012 | | \$ 39,179,000 | \$ 142,207,691 |
| Bond Refinancing Proceeds | | \$ 2,684,071 | \$ 2,684,071 |
| Repayment Fund (Fund 0602 & 0649) ¹ | \$ 211,574,290 | \$ 96,909,814 | \$ 308,484,104 |
| DWSRF Fund Transfer (From Repayment Funds) | \$ 10,000,000 | \$ 10,000,000 | \$ 10,000,000 |
| DWSRF Fund Transfer (From Federal Capitalization Grants) | | \$ 18,500,000 | \$ 18,500,000 |
| Total Funds Available | | | \$ 463,375,866 \$ 481,875,866 |
| Loan Commitments | \$ (5,101,402) | | \$ (5,101,402) |
| Committed for ARRA projects | \$ (56,662,688) | | \$ (56,662,688) |
| Committed for Direct Loans | \$ (158,009,628) | | \$ (158,009,628) |
| Independent Audit | | \$ (100,000) | \$ (100,000) |
| 4% FFY 10 Administration Costs | | \$ (1,379,439) | \$ (1,379,439) |
| 4% FFY 11 Administration Costs | | \$ (1,537,440) | \$ (1,537,440) |
| 4% FFY 12 Administration Costs | | \$ (1,567,160) | \$ (1,567,160) |
| Match Bond Debt Service ² | | | |
| Remaining Principal Due | \$ (10,351,000) | | |
| Interest Due through SFY 2012 | | \$ (504,445) | \$ (10,855,445) |
| Additional Match Bond Debt Service ³ | | | |
| Due through SFY 2013 | | \$ (737,909) | \$ (737,909) |
| 2010B Pledged Commitments | | \$ (3,693,950) | \$ (3,693,950) |
| Funds Available for Projects | | | \$ 223,730,805 \$ 242,230,805 |
| Anticipated Direct Loans during SFY 2012 (Jan. 1 – June 30) | | \$ (1,935,930) | |
| NPS Direct Loans | | \$ (5,000,000) | |
| Disadvantaged Community Loans | | \$ (1,671,214) | |
| FFY 10 Anticipated Grants | | \$ (7,459,361) | |
| FFY 11 Anticipated Grants | | \$ (3,793,371) | |
| FFY 12 Our Mo Waters Initiative Grants | | \$ (3,266,140) | |
| Our Mo Waters Initiative Loans | | \$ (3,865,830) | \$ (26,991,846) |
| Total Available for Loans | | | \$ 196,738,959 \$ 215,238,959 |

1. Repayment Funds include the 2010B State Match Bond Proceeds.
2. Debt service for the A2002 and A2010 State Match Bond.
3. Debt service for the Match Bond Debt Service is currently being funded from the SRF program rather than state funds.

**Clean Water SRF Loan Program
Fundable Carry-over Projects - State Fiscal Year 2013**

| Applicant | Project # | Description | Priority Points | Service Area Pop. | Eligible Costs | NPDES# | Problem Code | Financing Schedule SFY-Qtr | Needs Category | Initiation of Operations |
|---|------------|----------------|-----------------|-------------------|---|--|--------------|----------------------------|----------------|--------------------------|
| Available Funds | | | | | \$196,738,959 \$215,238,959 | | | | | |
| Huntsville * | C295670-01 | TP, Coll Rehab | 130 | 2,176 | \$3,075,000 | MO-0094846 | 4, 5 | 12-4 | IIIB, IVA, IVB | 13-3 |
| Jefferson City (Cole Jct & Route B) * | C295401-06 | Coll, Rehab | 120 | 11,000 | \$15,000,000 | MO-0050580 | 5 | 12-2 | I | 13-4 |
| Cape Girardeau (Phase 1) * | C295531-01 | TP | 110 | 36,421 | \$31,000,000 | MO-0023124 | 1, 3, 4, 5 | 12-3 | I | 14-1 |
| Northeast Public Sewer District (Lower Saline Creek) * | C295684-01 | I/I | 80 | 4,920 | \$8,843,088 | MO-0128490 MO-0110752 MO-0105767 MO-0092371 | 4, 5 | 13-2 | IIIB | 14-1 |
| Louisiana * | C295686-01 | TP | 75 | 3,863 | \$5,432,860 | MO-128490 | 4, 5 | 12-3 | IIIB | 13-2 |
| Northeast Public Sewer District (Saline Creek & Upper Saline Creek) * | C295684-03 | I/I | 75 | 24,235 | \$4,852,517 | MO-128490 | 4, 5 | 12-4 | IIIB | 13-1 |
| Total Fundable Carryover Projects | | | | | \$68,203,465 | | | | | |
| Balance Forward | | | | | \$128,535,494 \$147,035,494 | | | | | |

Note: An explanation of the abbreviations and codes appears on page 8.

**Clean Water SRF Loan Program
Fundable Projects – State Fiscal Year 2013**

| Applicant | Project # | Description | Priority Points | Service Area Pop. | Eligible Costs | NPDES# | Problem Code | Financing Schedule SFY-Qtr | Needs Category | Initiation of Operations |
|--|------------|----------------|-----------------|-------------------|---|------------|--------------|----------------------------|-----------------|--------------------------|
| Balance Forward | | | | | \$128,535,494 \$147,035,494 | | | | | |
| Cape Girardeau (Phase 2) | C295531-02 | TP | 135 | 37,941 | \$39,000,000 | MO-0050580 | 5 | 13-2 | I, II | 14-3 |
| St. Joseph (Whitehead Creek Stormwater Separation Proj.) | C295699-02 | CSO | 145 | 76,780 | 18,903,000 | MO-0023043 | 5 | 13-4 | V, VII | 14-4 |
| Kansas City WSD (Turkey Creek PS) | C295588-19 | Coll Rehab | 140 | 225,000 | 15,812,700 | MO-0024929 | 4, 5 | 13-4 | IIIB | 14-4 |
| St. Joseph (Eastside Wastewater Service Area Improvements) | C295699-01 | Coll Rehab | 140 | 76,780 | 25,985,882 | MO-0023043 | 4 | 13-4 | IVA | 14-4 |
| Kansas City WSD (Birmingham PS) | C295588-23 | TP | 130 | 459,787 | 8,134,963 | MO-0049531 | 5 | 13-4 | II | 14-4 |
| Odessa | C295675-01 | TP | 130 | 5,100 | 12,540,000 | MO-0026395 | 4, 5 | 13-3 | I, II, IVB | 14-4 |
| Lake Ozark * | C295646-02 | Coll Rehab | 125 | 1,489 | 2,722,674 | N/A | 4, 5 | 13-3 | IVB | 14-2 |
| Boone County RSD (Highway HH Phase I) * | C295375-13 | TP, TP Impr, I | 110 | 1,095 | 4,901,400 | Multiple | 4, 5 | 13-4 | I, II, IVB | 14-4 |
| Kirksville (Phase 8) | C295250-10 | Coll Rehab | 105 | 17,505 | 1,422,000 | MO-0049506 | 4, 5 | 13-4 | IIIA | 14-4 |
| Sunrise Beach * | C295540-01 | TP, Coll | 105 | 1,796 | 6,102,000 | Multiple | 4 | 13-3 | I, II, IVA, IVB | 14-3 |
| Boone County RSD (Rocky Fork Creek) * | C295375-12 | TP, I | 95 | 1,328 | 11,431,926 | Multiple | 4, 5 | 13-2 | I, IVB | 14-2 |
| Total Fundable Projects | | | | | \$120,376,545 \$146,956,545 | | | | | |
| Balance Forward | | | | | \$8,158,949 \$78,949 | | | | | |

Note: An explanation of the abbreviations and codes appears on page 8.

**Clean Water SRF Loan Program
Fundable Contingency Projects - State Fiscal Year 2013
(Complete Facility Plan Submitted and Approved Debt Instrument)**

| Applicant | Project # | Description | Priority Points | Service Area Pop. | Eligible Costs | NPDES# | Problem Code | Financing Schedule SFY-Qtr | Needs Category | Initiation of Operations |
|---|------------|------------------|-----------------|-------------------|----------------------|--------------------------|--------------|----------------------------|-----------------|--------------------------|
| MSD - Mo River WWTP Secondary Treatment Expansion | C295023-35 | TP | 130 | 197,000 | 24,890,000 | MO-0004391 | 4, 5 | 13-4 | I, II | 14-4 |
| Lake Ozark [±] | G295646-02 | Coll Rehab | 125 | 1,489 | 2,722,674 | N/A | 4,5 | 13-3 | IVB | 14-2 |
| Boone County RSD (Highway HH Phase I) [±] | G295375-13 | TP, TP Impr, I | 110 | 1,095 | 4,901,400 | Multiple | 4,5 | 13-4 | I, II, IVB | 14-4 |
| Kirksville (Phase 8) | G295250-10 | Coll Rehab | 105 | 17,505 | 1,422,000 | MO-0049506 | 4,5 | 13-4 | IIIA | 14-4 |
| Sunrise Beach [±] | G295540-01 | TP, Coll | 105 | 1,796 | 6,102,000 | Multiple | 4 | 13-3 | I, II, IVA, IVB | 14-3 |
| Boone County RSD (Reekey Fork Creek) [±] | G295375-12 | TP, I | 95 | 1,328 | 11,431,026 | Multiple | 4,5 | 13-2 | I, IVB | 14-2 |
| Boone County RSD (Spring Park Int.) * | C295375-11 | I, I/I | 95 | 470 | 417,273 | MO-0100463 MO-0097837 | 4, 5 | 13-4 | IIIA, IVA, IVB | 14-4 |
| Boone County RSD (Twin Lakes WWTF) * | C295375-16 | TP | 95 | 194 | 1,079,932 | MO-0101885 | 4, 5 | 13-4 | I | 14-4 |
| Nevada | C295698-01 | Coll Rehab, I/I | 90 | 8,386 | 3,000,000 | MO-0089109 | 5 | 13-4 | IIIA, IIIB | 14-4 |
| Boone County RSD (Clearview Acres Subdivision WWTF) | C295375-20 | Coll | 85 | 2,283 | 1,146,250 | MO-0085944 | 5 | 13-4 | IV, IVB | 14-4 |
| Boone County RSD (Energy Efficiency Upgrades) * | C295375-15 | TP Impr | 80 | 6,360 | 66,671 | Multiple | N/A | 13-2 | I | 14-2 |
| Boone County RSD (Sunrise Estates Int.) * | C295375-10 | I | 80 | 544 | 652,592 | MO-0090816 MO-0090824 | 4, 5 | 13-2 | IVB | 14-2 |
| Boone County RSD (Westwood Meadows) * | C295375-18 | Coll | 80 | 146 | 386,325 | MO-0053171 | 4, 5 | 13-4 | IVB | 14-4 |
| Boone County RSD (El Rey Heights) * | C295375-17 | I, FM | 80 | 139 | 203,490 | MO-0091766 | 4, 5 | 13-4 | IVA | 14-4 |
| Franklin County PWSD#1 (Pottery Road) | C295325-02 | Coll, LS | 75 | 1,204 | \$2,494,356 | Multiple | 1, 4, 5 | 13-1 | IVA, IVB | 14-1 |
| Boone County Commission (Manchester Heights) * | C295685-01 | I | 55 | 76 | 382,978 | MO-0081922 MO-0097837 | 5 | 13-4 | IVB | 13-2 |
| Little Blue Valley S.D. (Atherton WWTP Phase II)* | C295439-03 | TP, I, PS, Rehab | 5 | 320,000 | \$85,430,000 | MO-0101087 | 5 | 13-4 | I, IVB | 13-4 |
| Total Fundable Contingency Projects | | | | | \$146,729,867 | \$120,149,867 | | | | |

Note: An explanation of the abbreviations and codes appears on page 8.

**Clean Water SRF Loan Program
Contingency Projects – State Fiscal Year 2013
(Complete Facility Plan Submitted)**

| Applicant | Project # | Description | Priority Points | Service Area Pop. | Eligible Costs | NPDES# | Problem Code | Financing Schedule SFY-Qtr | Needs Category | Initiation of Operations |
|-----------------------------------|------------|-------------|-----------------|-------------------|------------------|------------|--------------|----------------------------|----------------|--------------------------|
| Naylor | C295606-01 | TP | 50 | 610 | \$200,000 | MO-0099279 | 4, 5 | 12-1 | I | 13-1 |
| Total Contingency Projects | | | | | \$200,000 | | | | | |

Note: An explanation of the abbreviations and codes appears on page 8.

**Clean Water SRF
Nonpoint Source Direct Loan Program
State Fiscal Year 2013**

| Applicant | Project # | Description | Priority Points | Service Area Pop. | Eligible Costs | NPDES# | Problem Code | Financing Schedule SFY-Qtr | Needs Category | Initiation of Operations |
|---|------------|-------------|-----------------|-------------------|--------------------|--------|--------------|----------------------------|----------------|--------------------------|
| Missouri Agriculture & Small Business Development | C295212-09 | TP | N/A | N/A | \$5,000,000 | N/A | 3 | 13-1 | VIIIB | 15-1 |
| Total Nonpoint Source Direct Loan Projects | | | | | \$5,000,000 | | | | | |

Note: An explanation of the abbreviations and codes appears on page 8.

**Nonpoint Source and Green Infrastructure Demonstration Grants
State Fiscal Year 2013**

| Applicant | Project # | Description | Priority Points | Service Area Pop. | Grant Amount | NPDES# | Problem Code | Financing Schedule SFY-Qtr | Needs Category | Initiation of Operations |
|--|------------|-------------|-----------------|-------------------|--------------------|----------|--------------|----------------------------|----------------|--------------------------|
| Available Funds | | | | | \$4,000,000 | | | | | |
| Taney County (Regional Class A Biosolids Facility) * | C295538-01 | TP Impr | 120 | 68,361 | \$3,000,000 | Multiple | 5 | 13-1 | I | 14-3 |
| Missouri Association of Councils of Govt. | C295707-01 | NPS | N/A | 749,773 | \$1,000,000 | N/A | 4 | 13-1 | VII | 14-1 |
| Total Demonstration Grants | | | | | \$4,000,000 | | | | | |
| Balance | | | | | \$ 0 | | | | | |

Note: An explanation of the abbreviations and codes appears on page 8.

**Disadvantaged Community Reserve
State Fiscal Year 2013**

| Applicant | Project # | Description | Priority Points | Service Area Pop. | Eligible Costs | Grant Amount | Loan Amount | NPDES# | Problem Code | Financing Schedule SFY-Qtr | Needs Category | Initiation of Operations |
|--|------------|----------------|-----------------|-------------------|----------------|--------------------|--------------------|------------|--------------|----------------------------|----------------|--------------------------|
| Available Funds | | | | | | \$5,013,641 | \$1,671,214 | | | | | |
| Brashear * | C295649-01 | TP | 80 | 280 | \$1,266,100 | \$949,575 | \$316,525 | MO-0046990 | 4, 5 | 11-4 | I | 12-4 |
| Rocky Mount S.D. * | C295623-01 | Coll | 70 | 450 | \$4,000,000 | \$3,000,000 | \$1,000,000 | N/A | 4 | 13-3 | I, IVA, IVB | 14-3 |
| Chamois | C295703-01 | TP, Coll Rehab | 100 | 546 | \$1,418,755 | \$1,064,066 | \$354,689 | MO-0039642 | 4, 5 | 13-4 | II, IIIB | 14-4 |
| Total Disadvantaged Community Funds | | | | | | \$5,013,641 | \$1,674,214 | | | | | |
| Balance | | | | | | \$0 | \$0 | | | | | |

Note: An explanation of the abbreviations and codes appears on page 8.

**Priority Watershed Reserve
State Fiscal Year 2013**

| Applicant | Project # | Description | Priority Points | Service Area Pop. | Eligible Costs | Grant Amount | Loan Amount | NPDES# | Problem Code | Financing Schedule SFY-Qtr | Needs Category | Initiation of Operations |
|---------------------------------------|------------|---------------|-----------------|-------------------|----------------|--------------------|--------------------|--------------------------|--------------|----------------------------|-------------------|--------------------------|
| Available Funds | | | | | | \$5,505,231 | \$3,865,830 | | | | | |
| Carl Junction (PW) | C295650-01 | TP Exp, I/I | 165 | 7,445 | \$4,100,000 | \$2,050,000 | \$2,050,000 | MO-0025186 | 5 | 13-4 | I, IIIA | 14-4 |
| Joplin (PW) | C295548-03 | TP, I/I | 155 | 50,150 | \$6,000,000 | \$3,000,000 | \$0 | MO-0103349 MO-0023256 | 5 | 13-3 | I, II, IIIA | 14-2 |
| Pierce City (PW) | C295696-01 | TP Impr, Coll | 90 | 1,385 | \$537,300 | \$268,650 | \$268,650 | MO-0099155 | 1, 4, 5 | 13-4 | I, II, IIIA, IIIB | 14-4 |
| Total Priority Watershed Funds | | | | | | \$5,318,650 | \$2,318,650 | | | | | |
| Balance | | | | | | \$186,581 | \$1,547,180 | | | | | |

Note: An explanation of the abbreviations and codes appears on page 8.

Clean Water SRF Loan Program Planning List – State Fiscal Year 2013

Note: An explanation of the abbreviations and codes appears on page 8.

| Applicant | Project # | Description | Priority Points | Service Area Pop. | Eligible Costs | NPDES# | Problem Code | Needs Category |
|--|-------------------|---------------------|-----------------|-------------------|----------------|--------------------------|--------------|-----------------|
| Auxvasse * | C295547-01 | PS Rehab | 10 | 999 | 376,667 | MO-0100986 | 5 | IIIB |
| Barnard | C295706-01 | TP Impr | 75 | 257 | 643,750 | MO-0041190 | 5 | II |
| Buckner * | C295694-01 | TP Impr, Coll Rehab | 55 | 2,900 | 830,000 | MO-0098094 | 5 | I, IIIB |
| Calvey Creek S.D. (Phase II) * | C295524-03 | Coll | 75 | 500 | 1,670,000 | N/A | 4 | IVA, IVB |
| Calvey Creek S.D. (Catawissa Area) | C295524-02 | TP Impr, Coll, I | 100 | 5,482 | 3,470,000 | MO-0115410 | 4, 5 | I, IVA, IVB |
| Chaffee * | C295692-01 | TP, Coll Rehab | 75 | 3,044 | 6,069,968 | MO-0025305 | 5 | I, IIIB |
| Cuba (Force Main) * | C295560-02 | I | 10 | - | 1,210,000 | MO-0094919 | 5 | IVB |
| Duquesne (PW) * | C295447-04 | Coll | 50 | 113 | 744,359 | N/A | 4 | IVA, IVB |
| East Lynne | C295695-01 | TP, I | 70 | 303 | 885,900 | MO-0022896 | 5 | I, IIIA |
| Ellington * | C295689-01 | TP Impr, I/I | 65 | 1,530 | 3,239,785 | MO-0022896 | 5 | I, IIIA |
| Eminence * | C295688-01 | I/I, Coll Rehab | 100 | 548 | 510,000 | MO-0055328 | 4, 5 | IIIB |
| Gainesville | C295697-01 | TP Rehab | 50 | 773 | 2,494,356 | MO-0027570 | 5 | I |
| Holts Summit | C295192-03 | TP, PS, I, Coll | 30 | 3,520 | 6,830,500 | MO-0106810 MO-0033910 | 4, 5 | I, II, IVA, IVB |
| Jackson | C295247-03 | TP, I | 45 | 13,758 | 9,455,000 | MO-0022853 | 5 | IIIA, IVA |
| Kansas City WSD (Blue River WWTP Storage) | C295588-06 | Stormwater | 60 | 459,787 | 700,000 | MO-0024911 | 5 | I, VII |
| Kansas City WSD (Brookside Phase III) | C295588-07 | Stormwater, I/I | 70 | 459,787 | 11,690,849 | MO-0024911 | 4, 5 | IIIA, VI |
| Kansas City WSD (East Bannister Road) | C295588-11 | I | 90 | 459,787 | 1,274,138 | MO-0024911 | 4 | IVB |
| Kansas City WSD (Second Creek) | C295588-15 | I | 55 | 459,787 | 30,305,158 | MO-0024961 | 4 | IVB |
| Lake Lotawana | C295700-01 | TP | 75 | 2,137 | 2,606,000 | MO-0055425 | 5 | I, II |
| Liberty | C295702-01 | TP, Coll | 105 | 29,780 | 61,615,648 | N/A | 5 | II, IVA, V |
| Lincoln Co. PWSD #1 | C295567-01 | Coll | 55 | 3,020 | 15,759,900 | Multiple | 4, 5 | IVA, IVB |
| Madison | C295658-01 | I/I | 20 | 567 | 1,253,874 | MO-0096920 | 4, 5 | I |
| Matthews | C295701-01 | TP Impr | 80 | 605 | 928,000 | MO-0127175 | 5 | I |
| MSD – Taylor-Fillmore-Harrison Sanitary Relief | C295023-34 | Coll Rehab | 150 | 700 | 4,584,848 | MO-0025151 | 4, 5 | IVA |
| MSD – MSD Public I/I Reduction Program | C295023-33 | I/I | 135 | 1,300,000 | 25,750,000 | Multiple | 4, 5 | IIIA |
| Peculiar | C295612-04 | TP, PS, FM, Coll | 90 | 4,800 | 10,293,600 | MO-0089443 | 4, 5 | I, IVA, IVB |
| Peculiar | C295613-02 | Stormwater | 60 | 4,800 | 5,300,000 | N/A | 4 | VI |
| Peculiar | C295613-01 | Stormwater | 10 | 800 | 500,000 | N/A | 4 | VI |
| Poplar Bluff * | C295671-01 | TP | 80 | 17,100 | 17,015,100 | MO-0043648 | 1, 4, 5 | I |

Note: An explanation of the abbreviations and codes appears on page 8.

| Applicant | Project # | Description | Priority Points | Service Area Pop. | Eligible Costs | NPDES# | Problem Code | Needs Category |
|--|------------|----------------------------|-----------------|-------------------|----------------------|--------------------------|--------------|--------------------|
| Princeton * | C295691-01 | TP Impr | 60 | 1,047 | 358,000 | MO-0028762 | 4, 5 | I, II |
| Pulaski Co. S.D. No. 1 (Collection System Rehab) * | C295676-01 | Coll Rehab | 30 | 19,700 | 2,464,000 | N/A | 4 | IIIA, IIIB |
| Pulaski Co. S.D. No. 1 (Weeks Hollow WWTP) * | C295320-06 | TP | 95 | 9,850 | 7,019,136 | MO-0111716 | 5 | I |
| Richmond | C295705-01 | TP Impr, FM | 85 | 6,362 | 9,727,100 | MO-0021822 MO-0100200 | 4 | I, II, IVB |
| Shelbina | C295655-01 | I/I | 75 | 1,704 | 6,196,067 | MO-0041092 | 4, 5 | IIIA |
| Sikeston Board of Municipal Utilities | C295323-02 | TP, PS, FM, I&I | 105 | 16,992 | 18,900,000 | MO-0035009 MO-0120863 | 4, 5 | I, IIIA, IVB |
| St. James | C295704-01 | TP Impr, I/I | 60 | 5,200 | 4,620,000 | MO-0093564 | 5 | I, IIIA |
| Stanberry | C295708-01 | TP | 60 | 1,243 | 3,967,915 | MO-0043231 | 1, 5 | II |
| Walnut Grove | C295518-01 | TP, Coll, I, Rehab | 40 | 665 | 1,000,000 | MO-0107174 | 5 | I, IIIA, IIIB, IVB |
| Windsor | C295512-01 | TP, Coll, I, PS, FM, Rehab | 85 | 2,901 | 5,000,000 | MO-0047317 MO-0047325 | 5 | IIIB, IVA, IVB |
| Total Planning List Projects | | | | | \$287,259,618 | | | |

Abbreviations and Codes

| Problem Codes | Needs Codes | Description Reference List |
|--|--|---|
| 1 - NPDES Permit Violation | I Secondary Treatment | Coll Collection |
| 2 - Unpermitted Discharge | II Advanced Treatment | CSO Combined Sewer Overflow |
| 3 - Water Quality Stds. Violation | IIIA I/I correction | Det Detention |
| 4 - Public Health Problems | IIIB Sewer replacement or rehabilitation | Exp Expansion |
| 5 - Future NPDES Violation Expected | IVA New Collection | FM Force Main |
| | IVB New Interceptors | Impr Improvements |
| | V CSO | I Interceptor |
| | VIIB NPS: Animal | I/I Inflow/Infiltration |
| | VIID NPS: Urban | NPDES National Pollution Discharge Elimination System |
| | | NPS Non Point Source |
| | | PS Pump Station |
| PW Project is in an Our Missouri Waters Initiative Priority Watershed | | Rehab Rehabilitation |
| | | TP Treatment Plant |

Notes:

Final eligible costs will be determined as documents are submitted and the project is closer to financing.

Financing schedule shown is for planning purposes only. Final scheduling will be determined as documents are submitted and approvals obtained.

An * indicates the project is carried over from last year's IUP.

Carry over projects from the state fiscal year 2012 list must reapply to be considered for the state fiscal year 2014 list.

Disadvantaged communities are reflected in **bold italic print**.