

Missouri Clean Water Commission Meeting
Department of Natural Resources
Lewis and Clark State Office Building
LaCharrette/Nightingale Creek Conference Rooms
1101 Riverside Drive
Jefferson City, Missouri

January 4, 2018

Fiscal Year 2018 Clean Water State Revolving Fund Intended Use Plan Revisions

Issue: Fiscal Year 2018 Clean Water State Revolving Fund Intended Use Plan Revisions.

Background: Financial Assistance Center staff is recommending that the project lists be amended as follows:

- Move the city of Troy (C295822-01) in the amount of \$18,579,000 from the Planning List to the Outstate Missouri Fundable projects list. This project has met the readiness to proceed criteria and is eligible to be allocated funds.
- With this change the unallocated balance of funds available has decreased from \$95,466,377 to \$76,887,377. This available balance should encourage applicants on the Planning List to continue to progress their projects forward to meet the readiness to proceed criteria during Fiscal Year 2018.

Recommended Action: The Department recommends the Commission approve changes to the Fiscal Year 2018 Clean Water State Revolving Fund Intended Use Plan as follows:

- Move the city of Troy (C295822-01) project in the amount of \$18,579,000 to the Outstate Missouri Fundable projects list.

Suggested Motion Language: I move to approve the proposed changes to the Fiscal Year 2018 Clean Water State Revolving Fund Intended Use Plan and Priority List as proposed.

List of Attachments:

- Revised Fiscal Year 2018 Clean Water State Revolving Fund Intended Use Plan project lists.



MISSOURI DEPARTMENT OF NATURAL RESOURCES

Fiscal Year 2018

(Oct. 1, 2017 – Sept. 30, 2018)

Clean Water State Revolving Fund Intended Use Plan And Priority List

Adopted November 6, 2017

Proposed Amendment January 10, 2018

List of Fiscal Year 2018 Applicants

Note: An explanation of the abbreviations and codes appears at the end of the list.

APPLICANT	APPLICATION DATE	PRIORITY POINTS	SERVICE AREA POP.	LIST LOCATION
Ashland	11/11/2016	110	3,707	OS
Aurora - Phase II	11/7/2016	105	7,508	OS
Belle	3/6/2017	115	1,545	OS
Boone County RSD (Bolli Road Coll System)	11/15/2016	85	37	P
Boone County RSD (Cedar Gate)*	11/12/2015	105	134	P
Boone County RSD (Lee Heights)*	11/9/2015	150	67	C
Boone County RSD (Oberlin Valley)*	11/10/2015	150	250	C
Boone County RSD (Phenora North Coll System)	11/15/2016	90	163	P
Boone County RSD (South Route K)*	11/19/2015	120	2,477	P
Carthage - WWTP Upgrades*	10/14/2015	130	14,378	C
Center Creek Wastewater Treatment Board	11/16/2017	130	15,268	OS
Deer Run Reorganized Common Sewer District	11/18/2016	90	385	P
Drexel*	10/5/2015	125	965	C
East Lynne	2/21/2017	95	303	OS
Festus*	8/8/2016	145	11,800	C
Garden City	2/14/2017	60	1,642	P
Gravois Arm Sewer District - Phase 5	11/16/2016	75	525	P
Kelso*	11/17/2015	85	586	CONT
Kearney	12/2/2016	55	8,381	P
Labadie Creek Watershed Sewer District of Franklin County*	4/13/2016	120	963	C
Lancaster*	11/9/2015	90	940	P
Lathrop	10/25/2016	135	2,086	OS
Liberal	11/14/2016	75	759	P
Meadville	10/11/2016	95	512	OS
Missouri Agriculture & Small Business Development	10/25/2016	N/A	N/A	DI
Moscow Mills*	11/16/2015	125	2,509	C
MSD - MSD Public I/I Reduction Program - Phase 5	3/17/2017	155	1,300,000	LM
MSD Gravois Trunk Sanitary Storage Facility	11/9/2016	115	79,716	LM
Peculiar	11/17/2016	55	4,608	P
Poplar Bluff	11/10/2016	80	17,023	P
Spickard*	9/1/2015	85	254	CONT
Sunrise Beach	11/16/2016	75	431	P
Taney County Regional Sewer District	11/16/2016	125	1,443	P
Tipton*	11/19/2015	75	3,296	P
Troy	11/16/2016	105	10,500	P OS
Weston	11/15/2016	115	1,641	OS
Windsor*	11/16/2015	125	3,087	C

An * indicates the project is carried over from last year's IUP.

Abbreviations and Codes

C – Carryover List	Fund – Fundable List
Cont – Contingency List	LM – Large Metropolitan Areas & Districts
CSO – Combined Sewer Overflow	OS - Outstate
DI - Department Initiatives	P – Planning List

Fiscal Year 2018 Project Lists

Applicant	Project #	Description	Priority Points	Service Area Population	Eligible Costs	Loan Amount	NPDES #	Problem Code	Financing Schedule FY - Quarter	Needs Category	Initiation of Operations
Carryover Fundable List Available Funds						\$ 268,819,768					
Boone County RSD (Oberlin Valley) *	C295375-26	Coll, FM, PS	150	250	\$ 674,741	\$ 674,741	MO-0117323	5	18-4	IVB	19-4
Boone County RSD (Lee Heights) *	C295375-28	Coll, FM, PS	150	67	\$ 629,968	\$ 629,968	MO-0102113	5	18-4	IVB	19-4
Festus *	C295305-03	Coll Exp, PS, FM	145	11,800	\$ 1,412,140	\$ 1,412,140	MO-0122777 MO-0080632	1, 5	18-4	IVA	19-2
Carthage - WWTP Upgrades *	C295809-01	TP Impr	130	14,378	\$ 6,000,000	\$ 6,000,000	MO-0039136	5	18-2	I	19-2
Drexel*	C295803-01	TP, PS, I, Det	125	965	\$ 2,392,000	\$ 2,392,000	MO-0129852 MO-0023655	5	18-3	I, IIIA, IVB	19-3
Windsor *	C295512-01	TP Impr, I/I	125	3,087	\$ 5,000,000	\$ 5,000,000	MO-0047325 MO-0047317	1	18-4	I, IIIA, IIIB	19-4
Moscow Mills *	C295810-01	PS, Coll, FM	125	2,509	\$ 2,592,737	\$ 2,592,737	MO-0129852 MO-0119709	1	19-1	I, IIIA, IVB	20-1
Labadie Creek Watershed Sewer District of Franklin County *	C295727-01	TP, Coll, Exp	120	963	\$ 3,000,000	\$ 3,000,000	Multiple	1	18-3	II, IVA	19-3
Total Carryover Fundable Projects					\$ 21,701,586	\$ 21,701,586					
Balance					\$ 247,118,182						

Notes: An explanation of the abbreviations and codes appears at the end of the project lists.

Allocation of Available Loan Funding

Loan Balance Forward from Fundable Carry-over Project Lists		\$ 247,118,182
Outstate Missouri (1)	40%	\$ 98,847,273
Large Metropolitan Areas and Districts (2)	30%	\$ 74,135,455
Combined Sewer Overflow (CSO)	15%	\$ 37,067,727
Department Initiatives	15%	\$ 37,067,727

(1) Service area population of less than 75,000.

(2) Service area population of 75,000 or more.

Financial Summary of the Fundable Projects Lists (loan funding only)

	Outstate Missouri	Large Metropolitan Areas & Districts	Combined Sewer Overflow	Department Initiatives	Total
Loan Allocation	\$ 98,847,273	\$ 74,135,455	\$ 37,067,727	\$ 37,067,727	\$ 247,118,182
Total Projects (1)	\$ (42,830,805)	\$ (126,400,000)	\$ -	\$ (1,000,000)	\$ (170,230,805)
Balance Before Transfers	\$ 56,016,468	\$ (52,264,545)	\$ 37,067,727	\$ 36,067,727	\$ 76,887,377
Transfers		\$ 37,067,727	\$ (37,067,727)		\$ -
	\$ 20,870,909	\$ 15,196,818		\$ (36,067,727)	\$ -
					\$ -
					\$ -
Total Transfers	\$ 20,870,909	\$ 52,264,545	\$ (37,067,727)	\$ (36,067,727)	\$ -
Balance Available (2)	\$ 76,887,377	\$ -	\$ -	\$ -	\$ 76,887,377
Amount Forward to Project Tables (3)	\$ 119,718,182	\$ 126,400,000	\$ -	\$ 1,000,000	\$ 247,118,182

(1) From the Project Lists on the subsequent pages.

(2) Balance may be shifted to other categories to fund projects that are ready to proceed.

(3) Amount equals the Allocation + Total Transfers.

Fiscal Year 2018 Project Lists

Applicant	Project #	Description	Priority Points	Service Area Population	Eligible Costs	Loan Amount	NPDES #	Problem Code	Financing Schedule FY - Quarter	Needs Category	Initiation of Operations
Outstate Missouri Fundable List Available Funds						\$ 119,718,182					
Lathrop	C295821-01	TP Imp, I/I, Rehab	135	2,086	\$ 6,245,200	\$ 6,245,200	MO-0112704	1, 5	18-4	II, IIIA, IIIB	20-1
Center Creek Wastewater Treatment Board	C295446-02	TP Impr	130	15,268	\$ 2,750,000	\$ 2,750,000	MO-0040185	1	18-2	I	19-2
Belle	C295813-01	Coll Impr, PS	115	1,545	\$ 666,770	\$ 666,770	MO-0048101	2	18-4	IIIB	19-4
Weston	C295814-01	TP Impr, PS, Coll	115	1,641	\$ 4,000,000	\$ 4,000,000	MO-0031585	4, 5	18-3	I, II, IIIB	19-3
Ashland	C295701-01	TP, Coll Impr	110	3,707	\$ 6,600,000	\$ 6,600,000	MO-0106844	5	18-2	I, II	19-3
Aurora - Phase II	C295711-02	I	105	7,508	\$ 1,324,870	\$ 1,324,870	MO-0036757	5	18-4	IVB	19-4
Troy	C295822-01	FM, PS, Impr	105	10,500	\$ 18,579,000	\$ 18,579,000	MO-0054623 MO-0131296	1	19-1	I	20-2
Meadville	C295801-01	TP Impr	95	512	\$ 1,339,080	\$ 1,339,080	MO-0041114	5	18-2	I	19-2
East Lynne	C295695-01	TP Impr	95	303	\$ 1,325,885	\$ 1,325,885	MO-0099961	5	19-1	I	20-1
Total Outstate Missouri Fundable Projects					\$ 24,251,805	\$ 24,251,805					
					\$ 42,830,805	\$ 42,830,805					
Balance						\$ 95,466,377					
						\$ 76,887,377					

Applicant	Project #	Description	Priority Points	Service Area Population	Eligible Costs	Loan Amount	NPDES #	Problem Code	Financing Schedule FY - Quarter	Needs Category	Initiation of Operations
Large Metropolitan Areas & Districts Fundable List Available Funds						\$ 126,400,000					
MSD - MSD Public I/I Reduction Program - Phase 5	C295023-40	I/I	155	1,300,000	\$ 82,400,000	\$ 82,400,000	Multiple	4, 5	18-3	IIIA	19-3
MSD Gravois Trunk Sanitary Stroage Facility	C295825-01	Det	115	79,716	44,000,000	\$ 44,000,000	MO-0025151	4, 5	18-3	IVB	21-3
Total Large Metropolitan Areas & Districts Fundable Projects						\$ 126,400,000	\$ 126,400,000				
Balance						\$ -					

Combined Sewer Overflow Fundable List Available Funds						\$ -					
Total Combined Sewer Overflow Fundable Projects						\$ -	\$ -				
Balance						\$ -					

Department Initiatives Fundable List Available Funds						\$ 1,000,000					
Missouri Agriculture & Small Business Development	C295212-10	NPS	N/A	N/A	\$ 1,000,000	\$ 1,000,000	N/A	3	19-2	VIIB	20-4
Total Department Initiatives Fundable Projects						\$ 1,000,000	\$ 1,000,000				
Balance						\$ -					

Applicant	Project #	Description	Priority Points	Service Area Population	Eligible Costs	Loan Amount	NPDES #	Problem Code	Financing Schedule FY - Quarter	Needs Category	Initiation of Operations
Fundable Contingency List (Complete Facility Plan Submitted and Approved Debt Instrument)											
Total Fundable Contingency Projects					\$ -						

Contingency List (Complete Facility Plan Submitted)											
Kelso *	C295195-02	TP	85	586	\$ 1,350,000		MO-0115118	5	18-4	II	19-4
Spickard *	C295823-01	TP	85	254	\$ 1,453,815		MO-0113026	5	19-1	II	19-4
Total Contingency Projects					\$ 2,803,815						

Planning List											
<i>Note: Information will be added to the shaded columns when the project moves to the fundable or contingency list.</i>											
Boone County RSD (Bolli Road Coll System)	C295375-25		85	37	\$ 200,000						
Boone County RSD (Cedar Gate) *	C295375-27		105	134	\$ 842,733						
Boone County RSD (Phenora North Coll System)	C295375-24		90	163	\$ 198,090						
Boone County RSD (South Route K)*	C295375-21		120	2,477	\$ 5,275,628						
Deer Run Reorganized Common Sewer District	C295815-01		90	385	\$ 1,808,100						
Garden City	C295829-01		60	1,642	\$ 200,000						
Gravois Arm Sewer District - Phase 5	C295826-01		75	525	\$ 2,420,000						
Kearney	C295828-01		55	8,381	\$ 3,252,000						
Lancaster *	C295804-01		90	940	\$ 2,227,325						

Applicant	Project #	Description	Priority Points	Service Area Population	Eligible Costs	Loan Amount	NPDES #	Problem Code	Financing Schedule FY - Quarter	Needs Category	Initiation of Operations
Liberal	C295827-01		75	759	\$ 1,709,337						
Peculiar	C295824-01		55	4,608	\$ 8,129,713						
Poplar Bluff	C295671-01		80	17,023	\$ 18,119,172						
Sunrise Beach	C295540-02		75	431	\$ 3,013,000						
Taney County Regional Sewer District	C295219-07		125	1,443	\$ 5,000,000						
Tipton *	C295293-02		75	3,296	\$ 1,677,000						
Troy	C295822-01		105	10,500	\$ 18,579,000						
					\$ 72,651,098						
Total Planning List Projects					\$ 54,072,098						

Description Reference List		Problem Codes	Needs Codes
Coll	Collection	1 - NPDES Permit Violation	I Secondary Treatment
CSO	Combined Sewer Overflow	2 - Unpermitted Discharge	II Advanced Treatment
Det	Detention	3 - Water Quality Stds. Violation	IIIA I/I correction
Exp	Expansion	4 - Public Health Problems	IIIB Sewer replacement or rehabilitation
FM	Force Main	5 - Future NPDES Violation Expected	IVA New Collection
Impr	Improvements		IVB New Interceptors
I	Interceptor		V CSO
I/I	Inflow/Infiltration		VIIB NPS: Animal
NPDES	National Pollution Discharge Elimination System		VIID NPS: Urban
NPS	Non Point Source		
PS	Pump Station		
Rehab	Rehabilitation		
TP	Treatment Plant		

Notes:

Final eligible costs will be determined as documents are submitted and the project is closer to financing.

Financing schedule shown is for planning purposes only. Final scheduling will be determined as documents are submitted and approvals obtained.

An * indicates the project is carried over from the previous year's IUP.

Carry over projects from the fiscal year 2017 list must reapply to be considered for the fiscal year 2019 list.