



MISSOURI DEPARTMENT OF NATURAL RESOURCES
 GEOLOGICAL SURVEY PROGRAM
FINANCIAL ASSURANCE INSTRUMENT CERTIFICATION

OBLIGOR (WELL OWNER/OPERATOR/DRILLER) INFORMATION

NAME OF COMPANY, ORGANIZATION, INDIVIDUAL			
MAILING ADDRESS		CITY	STATE
			ZIP CODE
PRIMARY CONTACT NAME	TITLE	PRIMARY PHONE NUMBER WITH AREA CODE	EMAIL ADDRESS

ISSUING INSTITUTION INFORMATION (TO BE COMPLETED BY THE ISSUING INSTITUTION.)

NAME		MAILING ADDRESS	CITY	STATE	ZIP CODE
CONTACT NAME	TITLE	PRIMARY PHONE NUMBER WITH AREA CODE	EMAIL ADDRESS		

FAI INFORMATION (TO BE COMPLETED BY THE ISSUING INSTITUTION. CONDITIONS AND REQUIREMENTS FOR THE FAI IS LOCATED ON THE BACK OF THIS FORM.)

FAI SUBMISSION	<input type="checkbox"/> Original FAI <input type="checkbox"/> Amendment to existing FAI				
<input type="checkbox"/> Certificate of Deposit (CD)	ISSUED TO	NUMBER	ISSUE DATE	VALUE	AUTOMATICALLY RENEWABLE <input type="checkbox"/> Yes <input type="checkbox"/> No
<input type="checkbox"/> Irrevocable Letter of Credit (LOC)	ESCROW ACCOUNT ESTABLISHED TO SECURE THIS LOC <input type="checkbox"/> Yes <input type="checkbox"/> No IF YES, AMOUNT IN ESCROW ACCOUNT \$		STATE OF MISSOURI BENEFICIARY OF LOC <input type="checkbox"/> Yes <input type="checkbox"/> No		AUTOMATICALLY RENEWABLE <input type="checkbox"/> Yes <input type="checkbox"/> No
	NUMBER	ISSUE DATE	EXPIRATION DATE	VALUE	

BONDING INSTITUTION INFORMATION (TO BE COMPLETED BY THE BONDING INSTITUTION.)

NAME		MAILING ADDRESS	CITY	STATE	ZIP CODE
CONTACT NAME	TITLE	PRIMARY PHONE NUMBER WITH AREA CODE	EMAIL ADDRESS		
<input type="checkbox"/> Surety Bond (SB)	NUMBER	ISSUE DATE	MAXIMUM VALUE		

OBLIGOR PLEDGE CERTIFICATION

I, the undersigned, certify I am authorized to act as an agent for the submission of this FAI. I confirm the information on this form has been reviewed by me and is true, correct and complete to the best of my knowledge. I agree to ensure this FAI remains in full force and effect until a letter of release is issued by the state of Missouri. I understand this FAI may be forfeited, without my consent, due to noncompliance with the provisions of Chapter 256, RSMo, and the Missouri Well Construction Rules 10 CSR 23. I have read and agree to the conditions and requirements on the back of this form. I hereby irrevocably pledge the above described FAI to secure the obligor's performance bond(s).

OBLIGOR SIGNATURE (AUTHORIZED AGENT)	OBLIGOR NAME (PRINT)	TITLE
NOTARY PUBLIC EMBOSSEER OR RUBBER STAMP SEAL		
SUBSCRIBED AND SWORN BEFORE ME, ON THIS _____ DAY OF _____ IN THE YEAR _____		
STATE	COUNTY	MY COMMISSION EXPIRES
NOTARY PUBLIC SIGNATURE		NOTARY PUBLIC NAME (Print)

ISSUING AGENT OR FINANCIAL INSTITUTION PLEDGE CERTIFICATION

I, the undersigned, certify I am authorized to act as an agent for the institution issuing this FAI. I certify the FAI and institution information on this form is accurate. I agree to ensure this FAI remains in full force and effect until a letter of release is issued by the state of Missouri. I have read and agree to the conditions and requirements on the back of this form.

AUTHORIZED AGENT SIGNATURE	AUTHORIZED AGENT NAME (PRINT)	TITLE
NOTARY PUBLIC EMBOSSEER OR RUBBER STAMP SEAL		
SUBSCRIBED AND SWORN BEFORE ME, ON THIS _____ DAY OF _____ IN THE YEAR _____		
STATE	COUNTY	MY COMMISSION EXPIRES
NOTARY PUBLIC SIGNATURE		NOTARY PUBLIC NAME (PRINT)

FOR OFFICE USE ONLY

APPROVED BY	DATE

FOR OFFICE USE ONLY (APPROVAL FOR RELEASE OF FAI – RELEASE MUST BE ACCOMPANIED BY A LETTER FROM THE STATE OF MISSOURI)

The obligor of this FAI has met the requirements of Chapter 256 RSMo. and the Missouri Well Construction Rules 10 CSR 23, thereby, the FAI and the amount identified on the front of this form is released per their request.

AUTHORITY TO RELEASE THIS FAI IS HEREBY GRANTED, THIS

APPROVED BY

DAY OF

YEAR

CERTIFICATE OF DEPOSIT PLEDGE CONDITIONS AND REQUIREMENTS

- A paper CD, receipt or certificate, time deposit, etc., must accompany this form.
- The pledged amount of the CD shall secure obligor's personal performance bond according to Chapter 256 RSMo, and the Missouri Well Construction Rules 10 CSR 23.
- The CD must be automatically renewable.
- The pledged amount of the CD shall not be released or negotiated until the obligor has met full compliance with the Missouri Well Construction Rules 10 CSR 23.
- The issuing institution shall place a hold on the CD until a release from this pledge is received in writing by the state of Missouri. Under no circumstances shall the pledged amount of the CD be released to the owner without a letter of release from the state of Missouri and a copy of this bond with the foregoing "release" portion signed.
- The issuing institution must be protected by the Federal Deposit Insurance Corporation (FDIC).
- The issuing institution acknowledges the assignment and pledge of the aforesaid CD to the state of Missouri and agrees to record the assignment on the back of the CD and/or on its books. Further, the issuing institution acknowledges and agrees that it shall hold the monies represented by the CD as a custodian and agent for the state of Missouri.
- The issuing institution must provide information on the aforesaid CD to the state of Missouri upon written request.
- The issuing institution must honor upon receipt all demands for payment by and make payment to the state of Missouri within 30 days of receipt of the demand.
- The interest derived from the CD shall remain the obligor's and may be paid out as the obligor and issuing institution agree. The state of Missouri may only redeem the pledged amount of the CD.

SURETY BOND CONDITIONS AND REQUIREMENTS

- Original surety bond must accompany this form.
- The pledged amount of the surety bond shall secure obligor's personal performance bond according to Chapter 256 RSMo, and the Missouri Well Construction Rules 10 CSR 23.
- The cancellation of this surety bond must be in accordance with the Missouri Well Construction Rules 10 CSR 23. Cancellation will not be effective without the approval of the state of Missouri.

IRREVOCABLE LETTER OF CREDIT CONDITIONS AND REQUIREMENTS

- Original irrevocable letter of credit (LOC) must accompany this form.
- The pledged amount of the irrevocable letter of credit shall secure obligor's personal performance bond according to Chapter 256 RSMo, and the Missouri Well Construction Rules 10 CSR 23.
- A complete explanation and all information or documents needed to draw upon this letter of credit must accompany this form. The LOC must provide for draws to be made on the LOC by U.S. Postal Service certified mail.
- The beneficiary of the irrevocable letter of credit shall be the state of Missouri Ground Water Protection Fund.
- The LOC shall be governed by Missouri law. The Uniform Customs and Practice for Documentary Credits, fixed by the International Chamber of Commerce, shall not apply.
- This irrevocable letter of credit shall be forfeited and shall be collected by the state of Missouri if not replaced by other suitable bond or irrevocable letter of credit at least 30 days before its expiration date.

For questions or additional information, contact the Missouri Geological Survey at 573-368-2165.