



MISSOURI DEPARTMENT OF NATURAL RESOURCES  
 GEOLOGICAL SURVEY PROGRAM  
**OIL AND GAS WELL FINANCIAL ASSURANCE INSTRUMENT CERTIFICATION**

**OBLIGOR (WELL OWNER/OPERATOR) INFORMATION**

NAME OF COMPANY, ORGANIZATION, OR INDIVIDUAL THAT DRILLS, MAINTAINS, OPERATES, OR CONTROLS OIL AND GAS WELLS IN MISSOURI		OPERATOR LICENSE NUMBER	
MAILING ADDRESS	CITY	STATE	ZIP CODE
PRIMARY CONTACT NAME	TITLE	PRIMARY PHONE NUMBER WITH AREA CODE	EMAIL ADDRESS

**FINANCIAL INSTITUTION INFORMATION (TO BE COMPLETED BY THE INSTITUTION ISSUING THE FINANCIAL ASSURANCE INSTRUMENT (FAI))**

FINANCIAL INSTITUTION NAME	FINANCIAL INSTITUTION MAILING ADDRESS	CITY	STATE	ZIP CODE
CONTACT NAME	TITLE	PRIMARY PHONE NUMBER WITH AREA CODE	EMAIL ADDRESS	

**FAI INFORMATION (TO BE COMPLETED BY THE ISSUING INSTITUTION. CONDITIONS AND REQUIREMENTS FOR FAIS ARE LOCATED ON THE BACK OF THIS FORM.)**

Original FAI Submission       Amendment to existing FAI

<input type="checkbox"/> Certificate of Deposit (CD)	ISSUED TO	NUMBER	ISSUE DATE	VALUE	AUTOMATICALLY RENEWABLE <input type="checkbox"/> Yes <input type="checkbox"/> No
<input type="checkbox"/> Irrevocable Surety Bond (SB)	IRREVOCABLE <input type="checkbox"/> Yes <input type="checkbox"/> No	NUMBER	ISSUE DATE	MAXIMUM VALUE	
<input type="checkbox"/> Irrevocable Letter of Credit (LOC)	ESCROW ACCOUNT ESTABLISHED TO SECURE THIS LOC <input type="checkbox"/> Yes <input type="checkbox"/> No		STATE OF MISSOURI BENEFICIARY OF LOC		AUTOMATICALLY RENEWABLE
	IF YES, AMOUNT IN ESCROW ACCOUNT \$		<input type="checkbox"/> Yes <input type="checkbox"/> No		<input type="checkbox"/> Yes <input type="checkbox"/> No
	NUMBER	ISSUE DATE	EXPIRATION DATE	VALUE	

**FAI OBLIGOR PLEDGE CERTIFICATION**

I, the undersigned, certify I am authorized to act as an agent for the submission of this FAI. I confirm the information on this form has been reviewed by me and is true, correct and complete to the best of my knowledge. I agree to ensure this FAI remains in full force and effect until a letter of release is issued by the state geologist. I understand this FAI may be forfeited, without my consent, due to noncompliance with the provisions of Chapter 259, RSMo, and the Missouri Code of State Regulations Oil and Gas Council Rules 10 CSR 50. I have read and agree to the conditions and requirements on the back of this form. I hereby irrevocably pledge the above described FAI to secure the obligor's performance bond(s).

OBLIGOR SIGNATURE (AUTHORIZED AGENT)	OBLIGOR NAME (PRINT)	TITLE
NOTARY PUBLIC EMBOSSEER OR RUBBER STAMP SEAL	SUBSCRIBED AND SWORN BEFORE ME, ON THIS	
	DAY OF IN THE YEAR	
	STATE	COUNTY
	MY COMMISSION EXPIRES	
NOTARY PUBLIC SIGNATURE		
NOTARY PUBLIC NAME (Print)		

**FAI FINANCIAL INSTITUTION PLEDGE CERTIFICATION**

I, the undersigned, certify I am authorized to act as an agent for the financial institution issuing this FAI. I certify the FAI and financial institution information on this form is accurate. I agree to ensure this FAI remains in full force and effect until a letter of release is issued by the state geologist. I have read and agree to the conditions and requirements on the back of this form.

AUTHORIZED AGENT SIGNATURE	AUTHORIZED AGENT NAME (PRINT)	TITLE
NOTARY PUBLIC EMBOSSEER OR RUBBER STAMP SEAL	SUBSCRIBED AND SWORN BEFORE ME, ON THIS	
	DAY OF IN THE YEAR	
	STATE	COUNTY
	MY COMMISSION EXPIRES	
NOTARY PUBLIC SIGNATURE		
NOTARY PUBLIC NAME (PRINT)		

**FOR OFFICE USE ONLY**

APPROVED BY	DATE
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**FOR OFFICE USE ONLY (APPROVAL FOR RELEASE OF FAI – RELEASE MUST BE ACCOMPANIED BY A LETTER FROM THE STATE OF MISSOURI)**

The obligor of this FAI has met the requirements of Chapter 259 RSMo. and the Missouri Code of State Regulations Oil and Gas Council Rules 10 CSR 50, thereby, the FAI and the amount identified on the front of this form is released per their request.

AUTHORITY TO RELEASE THIS FAI IS HEREBY GRANTED, THIS

APPROVED BY

DAY OF

YEAR

**CERTIFICATE OF DEPOSIT PLEDGE CONDITIONS AND REQUIREMENTS**

- A paper CD, receipt or certificate, time deposit, etc., must accompany this form.
- The pledged amount of the CD shall secure obligor's personal performance bond according to Chapter 259 RSMo, and the Missouri Code of State Regulations Oil and Gas Rule 10 CSR 50-2.020.
- The CD must be automatically renewable.
- The pledged amount of the CD shall not be released or negotiated until the obligor has met full compliance with the Missouri Code of State Regulations Oil and Gas Council Rule 10 CSR 50-2.020, has plugged the well(s) in accordance with 10 CSR 50-2.060 and the CD is released by the state of Missouri.
- The financial institution shall place a hold on the CD until a release from this pledge is received in writing by the state of Missouri. Under no circumstances shall the pledged amount of the CD be released to the owner without a letter of release from the state of Missouri and a copy of this bond with the foregoing "release" portion signed.
- The financial institution must be protected by the Federal Deposit Insurance Corporation (FDIC).
- The financial institution acknowledges the assignment and pledge of the aforesaid CD to the state of Missouri and agrees to record the assignment on the back of the CD and/or on its books. Further, the financial institution acknowledges and agrees that it shall hold the monies represented by the CD as a custodian and agent for the state of Missouri.
- The financial institution must provide information on the aforesaid CD to the state of Missouri upon written request.
- The financial institution must honor upon receipt all demands for payment by and make payment to the state of Missouri within thirty (30) days of receipt of the demand.
- The interest derived from the CD shall remain the obligor's and may be paid out as the obligor and financial institution agree. The state of Missouri may only redeem the pledged amount of the CD.

**IRREVOCABLE SURETY BOND CONDITIONS AND REQUIREMENTS**

- Original surety bond must accompany this form.
- The pledged amount of the irrevocable surety bond shall secure obligor's personal performance bond according to Chapter 259 RSMo, and the Missouri Code of State Regulations Oil and Gas Council Rule 10 CSR 50-2.020.
- Only irrevocable surety bonds are acceptable.
- The cancellation of this irrevocable surety bond must be in accordance with the Missouri Code of State Regulations Oil and Gas Council Rule 10 CSR 50-2.020. Cancellation will not be effective without the approval of the state geologist.

**IRREVOCABLE LETTER OF CREDIT CONDITIONS AND REQUIREMENTS**

- Original irrevocable letter of credit (LOC) must accompany this form.
- The pledged amount of the irrevocable letter of credit shall secure obligor's personal performance bond according to Chapter 259 RSMo, and the Missouri Code of State Regulations Oil and Gas Council Rule 10 CSR 50-2.020.
- A complete explanation and all information or documents needed to draw upon this letter of credit must accompany this form. The LOC must provide for draws to be made on the LOC by U.S. Postal Service certified mail.
- The beneficiary of the irrevocable letter of credit shall be the state of Missouri.
- The LOC shall be governed by Missouri law. The Uniform Customs and Practice for Documentary Credits, fixed by the International Chamber of Commerce, shall not apply.
- This irrevocable letter of credit shall be forfeited and shall be collected by the state geologist if not replaced by other suitable bond or irrevocable letter of credit at least 30 days before its expiration date.
- If not a Missouri bank, the Oil and Gas Confirmation of Out of State Irrevocable Letter of Credit form (780-1136) must be submitted.

For questions or additional information, contact the Missouri Geological Survey at 573-368-2143.