



MISSOURI DEPARTMENT OF NATURAL RESOURCES

Fiscal Year 2019

(Reporting Year Oct. 1, 2018 – Sept. 30, 2019)

Drinking Water State Revolving Fund Intended Use Plan

Adopted October 17, 2018

Amended January 23, 2019

**Sources and Distribution of Funds
Capitalization Grants and Loan Repayments
(As of Dec. 31, 2017)**

Estimated Sources			
FFY 2016 DW SRF Capitalization Grant (federal portion only)		\$	1,765,959
FFY 2017 DW SRF Capitalization Grant (federal portion only)		\$	16,634,207
FFY 2018 DW SRF Capitalization Grant (federal portion only, not yet awarded)		\$	19,582,000
Loan Repayment Fund (Balance in Fund 0602 as of 12/31/17)		\$	21,223,479
Balance of Fund 0649 as of 12/31/17		\$	87,052
Projected Savings (Estimated Proceeds) from Bond Refinancing (1/1/18 - 9/30/20)		\$	2,436,564
Estimated DWSRF portion of Fund 0602 Investment Interest (1/1/18 - 9/30/20)		\$	1,343,668
Estimated DWSRF portion of Fund 0649 Investment Interest (1/1/18 - 9/30/20)		\$	6,535
Reserve Release (1/1/18 - 9/30/20)		\$	20,525,112
Direct Loans - Principal and Interest Repayments (1/1/18 - 9/30/20)		\$	26,988,482
State Match Subsidy Fund Reserve (1/1/18 - 9/30/20)		\$	1,358,000
State Match Subsidy Fund Estimated Investment Interest (1/1/18 - 9/30/20)		\$	476,823
2018A State Match Bond Proceeds*		\$	11,003,397
Total Estimated Sources			\$ 123,431,278
Estimated Uses			
Binding Loan Commitments (Balance of Reserve Payable Report 12/31/17)		\$	-
Binding Grant and Loan Commitments (Balance of Project Payable Report 12/31/17)		\$	24,331,423
Additional Subsidization from FFY 2015 Cap Grant	\$ 818,020		
Additional Subsidization from FFY 2016 Cap Grant	\$ 3,356,200		
Additional Subsidization from FFY 2017 Cap Grant	\$ 3,327,400		
Additional Subsidization from FFY 2018 Cap Grant	\$ 3,916,400		
Anticipated Grants Awarded FY 2018 (1/1/18 - 9/30/18)	\$ -		
Additional Subsidization Allocated to FFY 2019 DWSRF IUP Projects		\$	11,418,020
Funds Committed to FFY 2016 DW Set-Aside Uses		\$	1,765,959
Funds Committed to FFY 2017 DW Set-Aside Uses		\$	5,157,470
Funds Committed to FFY 2018 DW Set-Aside Uses		\$	6,070,420
2010B Pledge Commitments (1/1/18 - 9/30/20)		\$	3,105,488
2015A Pledge Commitments (1/1/18 - 9/30/20)		\$	1,826,831
Anticipated Direct Loans during FFY 2018 (1/1/18 - 9/30/18)		\$	-
Loan Funds Available for FFY 2019 DWSRF IUP Projects		\$	69,755,667
Total Estimated Uses			\$ 123,431,278

* 2018A bond proceeds to be used as state match for the FY2019, FY2020 and FY2021 capitalization grants.

Loan and Grant Commitments Before FFY 2019 IUP Approval	Loan	Grant	Total
None			
Total Commitments Before FFY 2019 IUP Approval	\$ -	\$ -	\$ -

Comprehensive List

Note: * Carried over from the last Intended Use Plan X Fundable in the last Intended Use Plan

		Priority Points	Applicant	DWSRF #	Population Served	Loan Request
*	X	120	Newburg	DW291229-02	470	\$ 1,129,826
*	X	110	Chamois	DW291365-01	396	\$ 1,380,000
	X	85	Madison County PWSD #1	DW291364-01	1,009	\$ 3,727,225
	X	85	Memphis	DW291363-01	1,822	\$ 2,510,455
		81	Plattsburg	DW291210-03	2,319	\$ 3,000,000
	X	80	Warsaw	DW291293-02	2,125	\$ 1,278,700
*	X	73	Marshfield	DW291148-04	6,633	\$ 5,219,634
		72	Cooper County CPWSD #1	DW291369-01	2,451	\$ 3,104,869
	X	71	Pierce City	DW291345-01	1,261	\$ 5,193,170
*		65	North Central Missouri Regional Water Commission	DW291226-02	6,714	\$ 8,953,600
		60	Bowling Green	DW291167-04	5,334	\$ 2,254,000
*	X	57	Buchanan County PWSD #1 (Interconnection and Distribution)	DW291339-02	2,400	\$ 5,000,000
		55	Urbana	DW291367-01	417	\$ 1,161,933
		54	Centertown	DW291337-02	278	\$ 2,725,792
*	X	50	Saline County PWSD #1	DW291359-01	591	\$ 1,049,055
		45	Laurie	DW291312-02	945	\$ 1,313,795
		45	Carrollton PWS	DW291368-01	3,784	\$ 8,705,224
*		35	Garden City	DW291073-02	1,642	\$ 131,000
	X	34	Brashear	DW291347-01	273	\$ 808,962
		25	Centralia	DW291366-01	4,027	\$ 2,516,290
Total Comprehensive List Projects						\$ 61,163,530

Allocation Of Available Loan Funds

Missouri law requires that twenty percent and fifteen percent of available funds, respectively, shall be made available to water systems serving populations of 3,300 or less (designated as very small communities) and water systems serving populations of 3,301 to 9,999 (designated as small communities). The remaining sixty five percent (designated for general community) is not restricted by population served.

It is important to note:

- * The fundable project lists may change significantly between the draft Intended Use Plan placed on public notice and the final version approved by the Safe Drinking Water Commission.
- * The inclusion of a project on the fundable list is not a guarantee of funding. Other factors, such as timely progress toward funding, compliance with program requirements and funding availability, may impact project funding.
- * Projects carried over from the 2018 Intended Use Plan remain eligible for the 2019 fiscal year and retain the points they received under the criteria in effect at the time they initially applied. Carry-over projects in the fiscal year 2019 Intended Use Plan must reapply by February 15, 2019 in order to compete for eligible funding in fiscal year 2020 Intended Use Plan.
- * If a system is utilizing a Contract For Engineering Services: Design and Development for Community Water Systems Grant from the Department, their SRF project was reduced by a like amount.

Initial Distribution of Available Funds

		Loan	Grant	Total
Very Small Community	20%	\$ 4,816,717	\$ 11,418,020	\$ 16,234,737
Small Community	15%	\$ 12,176,053		\$ 12,176,053
General Community	65%	\$ 52,762,897		\$ 52,762,897
Total Available Funds		\$ 69,755,667	\$ 11,418,020	\$ 81,173,687

Financial Summary of the Fundable Projects Lists

	Loans				Grants			
	Very Small Community	Small Community	General Community	Total	Very Small Community	Small Community	General Community	Total
Allocation	\$ 4,816,717	\$ 12,176,053	\$ 52,762,897	\$ 69,755,667	\$ 11,418,020	\$ -	\$ -	\$ 11,418,020
Total Projects (1)	\$ (16,239,970)	\$ (16,441,148)	\$ -	\$ (32,681,118)	\$ (11,418,020)	\$ -	\$ -	\$ (11,418,020)
Balance Before Transfers	\$ (11,423,253)	\$ (4,265,095)	\$ 52,762,897	\$ 37,074,549	\$ -	\$ -	\$ -	\$ -
Transfers	\$ 11,423,253		\$ (11,423,253)	\$ -				\$ -
		\$ 4,265,095	\$ (4,265,095)					\$ -
Total Transfers	\$ 11,423,253	\$ 4,265,095	\$ (15,688,348)	\$ -	\$ -	\$ -	\$ -	\$ -
Balance Available (2)	\$ -	\$ -	\$ 37,074,549	\$ 37,074,549	\$ -	\$ -	\$ -	\$ -
Amount Forward to Project Tables (3)	\$ 16,239,970	\$ 16,441,148	\$ 37,074,549	\$ 69,755,667	\$ 11,418,020	\$ -	\$ -	\$ 11,418,020

- (1) From the Project Lists on the subsequent pages.
- (2) Balance may be shifted to other categories to fund projects that are ready to proceed.
- (3) Amount equals the Allocation + Total Transfers.

Carryover	Fund. Last IUP	Disadvantaged	Priority Points	Applicant	DWSRF #	Pop.	Description / Needs	Amount Requested	Loan	Grant	Financing Schedule FY - Quarter
<i>Note: An explanation of the abbreviations and codes appears at the end of the project lists.</i>											
Very Small Community 20% Reserve Fundable List (Systems that serve a population of 3,300 or fewer)											
Amount Available									\$ 16,239,970	\$ 11,418,020	
*	X	D	120	Newburg	DW291229-02	470	Dis, Stor Rehab, Well Rehab	\$ 1,129,826	\$ 282,456	\$ 847,370	19-4
*	X	D	110	Chamois	DW291365-01	396	Well, Stor Rehab, Dis	\$ 1,380,000	\$ 345,000	\$ 1,035,000	19-4
	X	D	85	Madison County PWS #1	DW291364-01	1,009	Dis, Well, Stor	\$ 3,727,225	\$ 1,727,225	\$ 2,000,000	19-1
	X	D	85	Memphis	DW291363-01	1,822	Dis, Stor Rehab, TP	\$ 2,510,455	\$ 627,614	\$ 1,882,841	19-1
	X	D	71	Pierce City	DW291345-01	1,261	Dis, Stor Rehab, TP	\$ 5,193,170	\$ 3,193,170	\$ 2,000,000	19-1
		D	55	Urbana	DW291367-01	417	Dis	\$ 1,161,933	\$ 290,483	\$ 871,450	19-4
		D	45	Laurie	DW291312-02	945	Dis	\$ 1,313,795	\$ 328,449	\$ 985,346	19-4
	X		80	Warsaw	DW291293-02	2,125	Dis	\$ 1,278,700	\$ 1,278,700	\$ 0**	19-4
			72	Cooper County CPWS #1	DW291369-01	2,451	Dis, Well, Stor	\$ 3,104,869	\$ 3,104,869	\$ 0**	19-4
*	X		57	Buchanan County PWS #1 (Interconnection and Distribution)	DW291339-02	2,400	Dis	\$ 5,000,000	\$ 3,203,987	\$ 1,796,013	19-4
*	X		50	Saline County PWS #1	DW291359-01	591	Dis, Stor	\$ 1,049,055	\$ 1,049,055	\$ -	19-4
	X		34	Brashear	DW291347-01	273	Stor	\$ 808,962	\$ 808,962	\$ -	19-4
Very Small Community Total Fundable Projects									\$ 27,657,990	\$ 16,239,970	\$ 11,418,020
Balance									\$ -	\$ -	
Small Community 15% Reserve Fundable List (Systems that serve a population of 3,301 to 9,999)											
Amount Available									\$ 16,441,148		
*	X		73	Marshfield	DW291148-04	6,633	TP, Stor, Well Rehab	\$ 5,219,634	\$ 5,219,634	\$ -	19-3
			45	Carrollton PWS	DW291368-01	3,784	Dis, TP	\$ 8,705,224	\$ 8,705,224		19-4
			25	Centralia	DW291366-01	4,027	TP	\$ 2,516,290	\$ 2,516,290		19-4
Small Community Total Fundable Projects									\$ 16,441,148	\$ 16,441,148	\$ -
Balance									\$ -	\$ -	

Carryover	Fund. Last IUP	Disadvantaged	Priority Points	Applicant	DWSRF #	Pop.	Description / Needs	Amount Requested	Loan	Grant	Financing Schedule FY - Quarter
General Community 65% Reserve Fundable List (Not restricted by population served)											
Amount Available									\$ 37,074,549		
General Community Total Fundable Projects								\$ -	\$ -	\$ -	
Balance									\$ 37,074,549	\$ -	

Fundable Contingency List (Note: Information will be added to the shaded columns when the project moves to a fundable list)											
Total Fundable Contingency Projects								\$ -			

Planning List (Note: Information will be added to the shaded columns when the project moves to a fundable list)											
			81	Plattsburg	DW291210-03	2,319	Dis, Stor	\$ 3,000,000			
*			65	North Central Missouri Regional Water Commission	DW291226-02	6,714	TP	\$ 8,953,600			
			60	Bowling Green (Treatment and Distribution)	DW291167-04	5,334	TP, Dis	\$ 2,254,000			
		D	54	Centertown	DW291337-02	278	Stor, Dis	\$ 2,725,792			
*			35	Garden City	DW291073-02	1,642	Dis	\$ 131,000			
Total Planning List Projects								\$ 17,064,392			

** application not received by February 2018

* - Carried over from the last Intended Use Plan	TP - Treatment
X - Fundable in the last Intended Use Plan	Stor - Storage
D - Disadvantaged	Dis - Distribution
	Rehab - Rehabilitation