



Clean Water State Revolving Fund Grant Opportunities

Stacia Bax

Nov. 18, 2020 - Water Protection Forum

CWSRF Additional Subsidization

- Federal Water Pollution Control Act (aka Clean Water Act) allows certain percentage each year
 - Balance between long-term viability of loan program with needed assistance for communities
- States provide portion of CWSRF as
 - Grants, principal forgiveness, negative interest loans
 - Varies across the country – depends on each state’s specific statutes and rules
- Eligible applicants
 - Municipalities/political subdivisions (e.g., counties, incorporated cities and towns, regional water or sewer districts)
 - May vary depending on the grant type and the goals of each program

CWSRF Additional Subsidization

- Missouri CWSRF utilizes grants
 - Affordability Grants
 - Regionalization Incentive Grants
 - Water Quality Incentive Grants (new for FFY 2021)
 - CW Engineering Report Grants (formerly SCEAPs)
 - Technical Assistance Grant
 - *Integrated Planning Grants (new for FFY2022?)*
- FFY 2021 = \$20,891,575 in total

Affordability Grants

- Apply by 1 March each year for next federal fiscal year
 - 1 March 2021 application due for FFY2022 IUP
- Wastewater treatment or collection system projects
- Purpose
 - Assist applicants that would otherwise have difficulty financing proposed CW infrastructure project
- Eligibility criteria
 - In conjunction with SRF loan
 - Less than 10,000 population
 - Calculation (unemployment rate, MHI, proposed user rates, households below poverty level, & population trend)

Affordability Grants

- Must meet readiness-to-proceed
 - Complete application with approved debt instrument (e.g., voter approved bond) & approvable facility plan
- Ranking based on priority points
- Amount awarded if eligible (whichever is less)
 - Maximum \$2,000,000 grant
 - Up to 50% of eligible cost
 - Available funds as allocated by Intended Use Plan
 - FFY2021 = \$11,862,534
- Eligibility Evaluation Procedure
 - <https://dnr.mo.gov/env/wpp/srf/docs/cwsrf-grant-eligibility-procedure.pdf>

Regionalization Incentive Grants (RIG)

- Solicitation open 1 October until 31 December 2020
 - Future solicitations, applications due 1 March
- Purpose
 - Incentivize connections that reduce the number of small, struggling facilities through regionalization
- Eligible
 - Private (non-profit, non-PSC) or public
 - Permitted or should be permitted by DNR
 - Connections outside applicant's service area
 - Facility plan development or sewer extension construction
- Ineligible
 - Non-discharging or satellite systems

Regionalization Incentive Grants (RIG)

- Applicant must be the municipality who has
 - Hydraulic (average flow and peak flow) and
 - Organic (pollutant) load capacity to
 - Accept (collect and treat) the connecting entity's WW
- Prioritization
 - 1 = public (e.g., municipality, school) or private facility (e.g., HOA) to be connected is under enforcement
 - 2 = public, not under enforcement, has a schedule of compliance for more stringent effluent limits
 - 3 = private, not under enforcement, has a schedule of compliance for more stringent effluent limits

Regionalization Incentive Grants (RIG)

- Scoring
 - Based on location of discharge eliminated
 - Types of beneficial uses (e.g., WBCR, DWS)
 - Certain sensitive waters (e.g., losing, ORWs)
 - Water listed as impaired or has approved Total Maximum Daily Load (TMDL)
 - Watershed with lake nutrient criteria or permit limits excursions, or nutrients TMDL
 - Need for regionalization
 - Readiness-to-proceed (e.g., executed service agreement, facility plan)
 - Financial need (based on MHI)
 - Past success with SRF

Regionalization Incentive Grants (RIG)

- Additional information
 - Service agreement between applicant & connecting facility
 - Termination of service prior to 20 years will result in applicant repaying grant on a straight line 20-year prorating depreciation
 - Sewer extension shorter than 5 miles (connections must be economically feasible)
 - If more than 5 miles, case-by-case review
 - Total cost of connecting smaller system must be less than 110% of the cost to repair, replace, or upgrade that smaller system's wastewater treatment facility
 - For existing (not future) development

Regionalization Incentive Grants (RIG)

- 100% grant of eligible costs
 - Certain planning, designing, constructing, legal, land acquisition or easement expenses
 - Closure cost if decommissioned system is public
 - No maximum grant amount per applicant for construction
 - Maximum of \$62,500 for facility plan development
 - Total FFY2021 IUP funds available = \$2,729,041
- Guidance
 - <https://dnr.mo.gov/env/wpp/docs/2020-10-01-regionalization-grant-guidance-2020-final.pdf>
- Application (Form MO 780-2881)
 - <https://dnr.mo.gov/forms/docs/780-2881-f.pdf>

FFY2020 RIG Summary

- First solicitation 31 October – 31 December 2019
- Awarded \$4,049,446 in total

Category	Rank	Community	Points	Purpose	Funding
1	1	Moberly	170	Construction	\$ 954,208.00
	2	Van Buren	140	Construction	\$ 981,050.00
	3	Linn	100	Construction	\$ 607,570.00
	4	Winfield	90	Facility Plan	\$ 62,500.00
	5	East Prairie	80	Facility Plan	\$ 62,500.00
2	6	Holts Summit	55	Construction	\$ 1,017,918.00
3	7	Potosi	105	Construction	\$ 363,700.00
4	-	None	-	-	-

Water Quality Incentive Grants

- Apply by 1 March each year for next federal fiscal year
- Purpose
 - Incentivize activities with significant benefits to water quality
- Eligibility criteria
 - In conjunction with SRF loan
 - Must meet readiness-to-proceed
 - Complete application with approved debt instrument (e.g., voter approved bond) & approvable facility plan
 - Qualified project component – 10 options
- Limit one grant per applicant per year

Water Quality Incentive Grants

- Ranking based on priority points
- Amount awarded if eligible (whichever is less)
 - Maximum grant varies per category between \$500,000 and \$2,000,000
 - Up to 50% of eligible cost
 - Available funds as allocated by Intended Use Plan
 - FFY2021 = \$3,500,000
- Grant commitment is valid for 2 years
- Stackable with Affordability Grant
 - Qualifying project costs greater than \$4,000,000 with at least a \$2,000,000 loan

Water Quality Incentive Grants

- Additional details in FFY2021 Intended Use Plan
 - <https://dnr.mo.gov/env/wpp/cwc/documents/2020-10-28-fy-2021-cwsrf-iup-final.pdf>

Water Quality Incentive Grant

Project component	Available grant funding
Cost for construction of flood mitigation infrastructure, such as holding basins, flood walls and redirection structures, used in conjunction with a flood control plan.	Up to \$1,000,000, not to exceed 50% of the total funding request
Cost for wastewater treatment plant upgrades needed to comply with new permit limits or to meet the assumptions and requirements of Total Maximum Daily Load Wasteload Allocations for a particular pollutant.	Up to \$1,000,000, not to exceed 50% of the total funding request
Cost for construction of wastewater treatment plant improvements intended to provide renewable energy generation, such as methane recovery, that reduce plant operating cost.	Up to \$1,000,000, not to exceed 50% of the total funding request
Cost for streambank stabilization in the watershed of a drinking water supply lake.	Up to \$500,000, not to exceed 50% of the total funding request
Cost for construction of measures to manage, reduce, treat, or recapture stormwater, or to construct green infrastructure in developed or urban areas to address nonpoint source pollution.	Up to \$1,000,000, not to exceed 50% of the total funding request
Cost for construction of wastewater treatment facility improvements intended to reuse or recycle wastewater, such as recharging basins, aquifer recharging, and conveyance to industrial facilities. Land application projects are excluded.	Up to \$1,000,000, not to exceed 50% of the total funding request
Cost for wastewater treatment improvements by a municipality serving citizens enrolled in a rate assistance program to facilitate a rate reduction or relief for affected low income residents, with the grant amount not to exceed the portion of the project serving enrolled citizens.	Up to \$2,000,000, not to exceed 50% of the total funding request
Cost for inflow and infiltration rehabilitation projects	Up to \$1,000,000, not to exceed 50% of the total funding request
Cost for construction of a sewer extension to serve customers in a district or city's service area that will eliminate a permitted, discharging wastewater treatment facility.	Up to \$500,000, not to exceed 50% of the project cost
Cost for construction to connect homes with failing or poorly functioning onsite wastewater systems to an existing central wastewater treatment system.	Up to \$500,000, not to exceed 50% of the project cost



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MISSOURI
DEPARTMENT OF
NATURAL RESOURCES

Water Quality Incentive Grants

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Cost for construction of a wastewater treatment facility that will eliminate a permitted, discharging wastewater treatment facility.	Up to \$2,000,000, not to exceed 50% of the project cost
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Cost for construction to connect homes with failing or poorly functioning onsite wastewater systems to an existing central wastewater treatment system.	Up to \$500,000, not to exceed 50% of the project cost
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Cost for construction of a sewer extension to serve customers in a district or city's service area that will eliminate a permitted, discharging wastewater treatment facility.

Up to \$500,000, not to exceed 50% of the project cost

treatment system.

cost



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Cost for inflow and infiltration rehabilitation projects	Up to \$1,000,000, not to exceed 50% of the total funding request
Cost for construction of a sewer extension to serve customers in a district or city's service area that will eliminate a permitted discharging	Up to \$500,000, not to exceed 50% of the project

Cost for construction to connect homes with failing or poorly functioning onsite wastewater systems to an existing central wastewater treatment system.

Up to \$500,000, not to exceed 50% of the project cost

CW Engineering Report Grants (ERG)

- Apply any time during year
 - First come, first served basis
- Purpose
 - Prepare a facility plan for wastewater treatment and/or collection system improvements
- Eligibility criteria
 - Municipalities with less than 10,000 population
 - Must address one of the following
 - New permit limits
 - Inflow and infiltration
 - Elimination of wet weather discharge/outfall

CW Engineering Report Grants (ERG)

- Amount awarded if eligible (whichever is less)
 - Match is required
 - 80% grant + 20% match
 - Disadvantaged = 90% grant + 10% match
 - Maximum \$50,000 grant
 - If eligible costs = \$62,500 or more for 80% grant
 - Available funds as allocated by Intended Use Plan
 - FFY2021 = \$1,300,000
- Application and Guidance
 - <https://dnr.mo.gov/env/wpp/srf/wastewaterloans.htm>

Technical Assistance Grant (TAG)

- Solicitation not open yet
- Purpose
 - To provide assistance to small and medium publicly owned treatment works for a period of 3 years
- Eligibility criteria
 - Award to one non-profit entity based on scoring of proposals
- Available funds as allocated by Intended Use Plan
 - FFY2021 = \$1,500,000

Questions?



Water Protection Program
Financial Assistance Center
P.O. Box 176
Jefferson City, MO 65102-0176
fac@dnr.mo.gov
573-751-1192