

Missouri Clean Water Commission
Department of Natural Resources
Lewis and Clark State Office Building
LaCharrette/Nightingale Conference Rooms
1101 Riverside Drive
Jefferson City, Missouri

April 2, 2014

State Revolving Fund

Issue: Fiscal Year 2014 Clean Water State Revolving Fund Intended Use Plan Revisions

Background: The status of two projects has changed since the Fiscal Year 2014 Clean Water State Revolving Fund Intended Use Plan was last amended. In addition, the Department has determined that a need exists to reallocate a portion of our administration fees.

- **Project Updates**
 - The city of Pierce City, C295696-01, is requesting an increase in their total eligible project costs from \$807,688 to \$991,375, an \$183,687 increase. This project is currently listed on the Priority Watershed Reserve list. This increase is in response to informing them of new water quality criteria for the protection of aquatic life from the effects of ammonia toxicity. The city would like to increase their previous funding to provide for the addition of mixers and controls for greater ammonia reduction. Pierce City is located in a targeted watershed thus eligible for a 50% grant.
 - Jefferson City, C295401-07, has submitted a complete facility plan thus meeting the readiness to proceed criteria previously adopted by the Commission. At this time the Jefferson City project is eligible to be placed on the Fundable Contingency List.
- **Administration Fees**
 - Due to an overwhelming response to the new Small Community Engineering Assistance Program, it is requested that the amount of funding be increased from \$500,000 to \$2,500,000, an increase of \$2,000,000. Within five (5) weeks of implementing this program in 2013, all available funds were committed to applicants.
 - Staff is requesting \$2,500,000 in funding for the Rural Sewer Grant Program. The rural sewer grant program is an existing program which has been previously funded by State Water Pollution Control Bond Funds authorized by Missouri Constitution, Article III, Sections 37(e) and (g). The grants are awarded in accordance with State Regulation 10 CSR 20-4.030. The grants cover up to 50

percent of the eligible costs of a project. The balance of the eligible costs and all the ineligible costs must come from another funding source. The maximum grant amount is the lesser of 50 percent of the eligible project cost or \$1,400 times the number of service connections. When the grant is to fund a collection system, the maximum grant is based on the number of new service connections. Grants are capped at \$500,000.

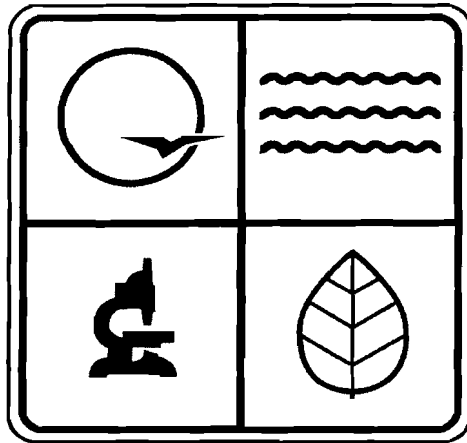
Recommended Actions: The Department recommends the Commission approve the proposed revisions to the Fiscal Year 2014 Clean Water State Revolving Fund Intended Use Plan as follows:

- Approve the requested increase in funding for the city of Pierce City from \$807,688 to \$991,375.
- Approve moving the Jefferson City project from the Planning List to the Fundable Contingency List.
- Approve increasing the amount of funding available for the Small Community Engineering Assistance Program.
- Approving funding the Rural Sewer Grant Program.

Suggested Motion Language: I move to approve the proposed changes to the Fiscal Year 2014 State Revolving Fund Project Lists as proposed.

Attachments:

- Revised Fiscal Year 2014 Clean Water State Revolving Fund Intended Use Plan Project Lists



MISSOURI DEPARTMENT OF NATURAL RESOURCES

Fiscal Year 2014

(Oct. 1, 2013 – Sept. 30, 2014)

Clean Water State Revolving Fund Intended Use Plan And Priority List

Adopted Sept. 11, 2013

Amended Jan. 8, 2014

Proposed April 2, 2014

**Clean Water SRF Loan Program
Fundable Carry-over Projects - Fiscal Year 2014**

Applicant	Project #	Description	Priority Points	Service Area Pop.	Eligible Costs	NPDES #	Problem Code	Financing Schedule FY - Qtr	Needs Category	Initiation of Operations	Green Project Reserve		
											Category	Business/Categorical	Amount
Available Funds					\$ 309,401,509								
St. Joseph (Eastside Wastewater Service Area Improvements) *	C295699-01	Coll Rehab	140	76,780	25,985,882	MO-0023043	4	14-1	IVA	14-4			
Odessa *	C295675-01	TP	130	5,100	12,540,000	MO-0026395	4, 5	14-1	I, II, IVB	14-4			
Kirksville (Phase 8) *	C295250-10	Coll Rehab	105	17,505	1,422,000	MO-0049506	4, 5	14-1	IIIA	14-4	EE	B	1,422,000
Total Fundable Carryover Projects					\$ 39,947,882								\$ 1,422,000
Balance Forward					\$ 269,453,627								

Note: An explanation of the abbreviations and codes appears on page 38.

Allocation of Available Loan Funding

Loan Balance Forward from Fundable Carry-over Project Lists		\$ 269,453,627
Outstate Missouri (1)	40%	\$ 107,781,451
Large Metropolitan Areas and Districts (2)	30%	\$ 80,836,088
Combined Sewer Overflow (CSO)	15%	\$ 40,418,044
Green Project Reserve (GPR) Incentives and Department Initiatives	15%	\$ 40,418,044

(1) Service area population of less than 75,000.

(2) Service area population of 75,000 or more.

Financial Summary of the Fundable Projects Lists (loan funding only)

	Outstate Missouri	Large Metropolitan Areas & Districts	Combined Sewer Overflow	Green Projects & Department Initiatives	Total
Loan Allocation	\$ 107,781,451	\$ 80,836,088	\$ 40,418,044	\$ 40,418,044	\$ 269,453,627
Total Projects (1)	\$ (59,920,292)	\$ (131,205,000)	\$ (53,830,000)	\$ (14,973,878) \$ (15,065,721)	\$ (259,920,170) \$ (260,021,013)
Balance Before Transfers	\$ 47,861,159	\$ (50,368,912)	\$ (13,411,956)	\$ 25,444,166 \$ 25,352,323	\$ (9,524,457) \$ 9,432,614
Transfers	\$ (47,861,159)	\$ 47,861,159			\$ -
		\$ 2,507,753		\$ (2,507,753)	\$ -
			\$ 13,411,956	\$ (13,411,956)	\$ -
Total Transfers	\$ (47,861,159)	\$ 50,368,912	\$ 13,411,956	\$ (15,919,709)	\$ -
Balance Available (2)	\$ -	\$ -	\$ -	\$ 9,524,457 \$ 9,432,614	\$ 9,524,457 \$ 9,432,614
Amount Forward to Project Tables (3)	\$ 59,920,292	\$ 131,205,000	\$ 53,830,000	\$ 24,498,335	\$ 269,453,627

(1) From the Project Lists on the subsequent pages.

(2) Balance may be shifted to other categories to fund projects that are ready to proceed.

(3) Amount equals the Allocation + Total Transfers.

**Clean Water SRF Loan Program
Outstate Missouri Fundable Projects - Fiscal Year 2014**

Applicant	Project #	Description	Priority Points	Service Area Pop.	Eligible Costs	NPDES #	Problem Code	Financing Schedule FY - Qtr	Needs Category	Initiation of Operations	Green Project Reserve		
											Category	Business/Categorical	Amount
Available Funds					\$ 59,920,292								
Pulaski Co. S.D. No. 1 (Weeks Hollow WWTF)	C295320-06	TP Exp, Impr	130	19,000	\$5,749,370	MO-0111716	5	14-2	I	15-2			
Lake Ozark	C295646-02	Coll Rehab	125	1,489	2,722,674	N/A	4, 5	14-1	IVB	14-3	EE	B	2,722,674
Kirksville	C295250-11	TP Exp, Impr	120	17,505	19,415,000	MO-0049506	5	14-1	I	16-3			
Boone County RSD (Westwood Meadows)	C295375-18	Coll	120	146	385,575	MO-0053171	4,5	14-1	IVA	14-4			
Boone County RSD (Clearview Acres Subdivision WWTF) *	C295375-20	Coll	110	2,283	1,146,250	MO-0085944	5	14-2	IV, IVB	15-2			
Ellington	C295689-01	TP Impr, I/I	110	987	3,091,630	MO-0022896	5	14-4	I, IIIA	16-1			
Boone County RSD (Trails West Subdivision)	C295375-22	PS, FM, Coll	110	650	1,006,450	MO-0092002	5	14-4	IVA, IVB	16-4			
Boone County RSD (Twin Lakes WWTF)	C295375-16	TP	110	200	1,091,640	MO-0101885	4,5	14-1	I, IVA	15-1			
Boone County RSD (El Rey Heights)	C295375-17	I, FM	110	139	203,490	MO-0091766	4,5	14-3	IVA	15-1			
Nevada *	C295698-01	Coll Rehab, I/I	105	8,386	3,000,000	MO-0089109	5	14-4	IIIA, IIIB	15-3			
Boone County RSD (Spring Park Int.)	C295375-11	I, I/I, Coll	105	470	417,273	Multiple	4,5	14-1	IIIA, IVA, IVB	14-4			
Belton	C295712-01	TP Impr	100	11,000	12,460,000	MO-0117412	5	14-4	I	16-2			
Boone County RSD (Sunrise Estates Int.)	C295375-10	I	95	544	648,725	MO-0090816 MO-0090824	4,5	14-1	IVB	14-3			
Holts Summit	C295192-03	TP, PS, I, Coll	90	3,350	3,250,000	MO-0106810 MO-0033910	4, 5	14-3	I, II, IIIB, IVA	15-4			

Applicant	Project #	Description	Priority Points	Service Area Pop.	Eligible Costs	NPDES #	Problem Code	Financing Schedule FY - Qtr	Needs Category	Initiation of Operations	Green Project Reserve		
											Category	Business/ Categorical	Amount
Franklin County PWSD #1 (Pottery Road) *	C295325-02	Coll, LS	75	1,204	2,494,356	Multiple	1, 4, 5	14-4	IVA, IVB	15-4			
Unionville	C295720-01	Coll Rehab	65	1,865	2,448,881	MO-0054569 MO-0026646	5	14-1	IIIA	15-1			
Boone County Commission (Manchester Heights)	C295685-01	Coll	55	76	388,978	MO-0081922 MO-0097837	5	14-3	IVA	15-2			
Total Fundable Projects					\$ 59,920,292								\$ 2,722,674
Balance					\$ -								

Note: An explanation of the abbreviations and codes appears on page 38.

Clean Water SRF Loan Program Large Metropolitan Areas & Districts Fundable Projects - Fiscal Year 2014

Applicant	Project #	Description	Priority Points	Service Area Pop.	Eligible Costs	NPDES #	Problem Code	Financing Schedule FY - Qtr	Needs Category	Initiation of Operations	Green Project Reserve		
											Category	Business/ Categorical	Amount
Available Funds					\$ 131,205,000								
MSD - Mo River WWTP Secondary Treatment Expansion - Phase III *	C295564-03	TP	195	197,000	\$17,000,000	MO-0004391	4, 5	14-1	I, II	14-4			
MSD - MSD Public I/I Reduction Program - Phase II	C295023-36	I/I	195	1,300,000	16,000,000	Multiple	4, 5	14-4	IIIA	16-2			
MSD - MSD Public I/I Reduction Program - Phase I	C295023-33	I/I	145	1,300,000	35,000,000	Multiple	4, 5	14-2	IIIA	16-2			
St. Joseph (WWTP Improvements)	C295699-04	TP Impr	145	76,780	56,000,000	MO-0023043	5	14-1	I, II	15-4			

Applicant	Project #	Description	Priority Points	Service Area Pop.	Eligible Costs	NPDES #	Problem Code	Financing Schedule FY - Qtr	Needs Category	Initiation of Operations	Green Project Reserve		
											Category	Business/Categorical	Amount
Columbia (Upper Hinkson Outfall Phase I)	C295361-10	I	95	12,672	\$7,205,000	MO-0097837	4	14-1	IVB	15-1			
Total Fundable Projects					\$ 131,205,000								
Balance					\$ -								

Note: An explanation of the abbreviations and codes appears on page 38.

**Clean Water SRF Loan Program
Combined Sewer Overflow Fundable Projects - Fiscal Year 2014**

Applicant	Project #	Description	Priority Points	Service Area Pop.	Eligible Costs	NPDES #	Problem Code	Financing Schedule FY - Qtr	Needs Category	Initiation of Operations	Green Project Reserve		
											Category	Business/Categorical	Amount
Available Funds					\$ 53,830,000								
St. Joseph (Blacksnake Creek Stormwater)	C295699-03	CSO	145	76,780	\$53,830,000	MO-0023043	5	14-4	V	16-4			
Total Fundable Projects					\$ 53,830,000								
Balance					\$ -								

Note: An explanation of the abbreviations and codes appears on page 38.

Green Project Reserve and Department Initiatives Allocation of Available Loan Funding

Loan Amount Available	\$ 24,498,335
Priority Watershed Reserve	\$ 5,544,628
Public & Private Partnership Demonstration Projects *	\$ 5,636,471
Public Entity & Satellite Community Partnerships *	\$ 1,972,853
Disadvantaged Community Reserve	\$ 88,548
Nonpoint Source Direct Loan Program	\$ 2,367,849
Nonpoint Source and Green Infrastructure Demonstration Grants	\$ 5,000,000
Balance	\$ -
	\$ 9,524,457
	\$ 9,432,614

* Loan funding will be made available as partnerships are established.

**Priority Watershed Reserve
Fiscal Year 2014**

Applicant	Project #	Description	Priority Points	Service Area Pop	Eligible Costs	Grant Amount	Loan Amount	NPDES #	Problem Code	Financing Schedule FY - Qtr	Needs Category	Initiation of Operations	Green Project Reserve		
													Category	Business/	Amount
Amount Available From Prior Intended Use Plans															
Allocation From 15%															
Available Funds															
Carl Junction (PW) *	C295650-01	TP Exp. I/I	165	7,445	\$4,100,000	\$ 8,816,133	\$ 2,453,844								
Joplin (PW) *	C295548-03	TP, I/I	155	50,150	6,000,000	\$ -	\$ -5,544,628								
						\$ 8,816,133	\$ 5,636,471								
						\$ 8,816,133	\$ 7,998,472								
						\$ 8,090,315	\$ 8,090,315								
						\$ 2,050,000	\$ 2,050,000	MO-0025186	5	14-2	I, IIIA	15-2			
						\$ 3,000,000	\$ 3,000,000	MO-0023256	5	14-1	I, II, IIIA	14-4	EE	B	1,313,000

Applicant	Project #	Description	Priority Points	Service Area	Pop.	Eligible Costs	Grant Amount	Loan Amount	NPDES #	Problem Code	Financing Schedule FY - Qtr	Needs Category	Initiation of Operations	Green Project Reserve	
														Category	Business/ Categorical
Aurora (PW)	C295711-01	TP Rehab	115	7,508	1,643,650	821,825	821,825	821,825	MO-0036757	5	14-4	I	15-4		
Pierce City (PW) *	C295696-01	TP Impr, Coll	90	1,385	807,688 991,375	403,844 495,688	403,844 495,687	403,844 495,687	MO-0099155	1, 4, 5	14-1	I, II, IIIA, IIIB, I, II, IIIA, IIIB	14-4		
Alba (PW)	C295709-01	TP, Coll Rehab	80	594	2,494,546	1,247,273	1,247,273	1,247,273	MO-0089036	5	14-3	IIIA, IIIB	15-1		
Duquesne (PW)	C295447-04	Coll	70	1,790	951,059	475,529	475,530	475,530	N/A	4	14-2	IVA, IVB	14-4		
Total Fundable Projects															
Balance															
														\$ 7,008,474	\$ 7,008,472
														\$ 8,090,315	\$ 8,090,315
														\$ 817,662	\$ -
														\$ 725,818	\$ 1,313,000

Note: An explanation of the abbreviations and codes appears on page 38.

Public & Private Partnership Demonstration Projects Fiscal Year 2014

Applicant	Project #	Description	Priority Points	Service Area	Pop.	Eligible Costs	Grant Amount	Loan Amount	NPDES #	Problem Code	Financing Schedule FY - Qtr	Needs Category	Initiation of Operations	Green Project Reserve		
														Category	Business/ Categorical	Amount
Amount Available From 15%																
Windsor Place	C295721-01	TP Impr	65	332	\$1,215,515	\$607,758	\$607,757	\$607,757	MO-0115495	5	14-4	I	15-4	EI	C	1,215,515
Russellville	C295718-01	TP Impr	20	813	2,730,192	1,365,096	1,365,096	1,365,096	MO-0106348	5	14-4	I	15-4	EI	C	2,730,192
Total Fundable Projects																
Balance																
														\$ 1,972,854	\$ 1,972,853	
														\$ 3,027,146	\$ -	

Note: An explanation of the abbreviations and codes appears on page 38.

**Public Entity & Satellite Community Partnerships
Fiscal Year 2014**

Applicant	Project #	Description	Priority Points	Service Area Pop.	Eligible Costs	Grant Amount	Loan Amount	NPDES #	Problem Code	Financing Schedule FY - Qtr	Needs Category	Initiation of Operations	Green Project Reserve							
													Category	Business/	Amount					
Amount Available From 15%																				
Milan	C295723-01	TP Rehab	65	85	\$354,192	\$265,644	\$88,548	MO-0119318	1, 3	15-1	IIIB	15-4								
Total Fundable Projects																				
Balance																				\$ -

Note: An explanation of the abbreviations and codes appears on page 38.

**Disadvantaged Community Reserve
Fiscal Year 2014**

Applicant	Project #	Description	Priority Points	Service Area Pop.	Eligible Costs	Grant Amount	Loan Amount	NPDES #	Problem Code	Financing Schedule FY - Qtr	Needs Category	Initiation of Operations	Green Project Reserve		
													Category	Business/	Amount
Amount Available From Prior Intended Use Plans															
Allocation From 15%															
Available Funds															
Sunrise Beach	C295540-01	TP, Coll	125	1,796	\$2,427,300	\$1,820,475	\$606,825	Multiple	4	14-4	I, II, IV/A, IV/B	15-1			
Brashear	C295669-01	TP, Impr	105	280	1,275,595	956,696	318,899	MO-0046990	4, 5,	14-1	I	14-4			
Chamois *	C295703-01	TP, Coll Rehab	100	546	1,418,755	1,064,066	354,689	MO-0039642	4, 5	14-1	II, III/B	14-4	EE	B	705,684

Applicant	Project #	Description	Priority Points	Service Area Pop.	Eligible Costs	Grant Amount	Loan Amount	NPDES #	Problem Code	Financing Schedule FY - Qtr	Needs Category	Initiation of Operations	Green Project Reserve			
													Category	Business/Categorical	Amount	
Rocky Mount SD	C295623-01	Coll, TP	95	962	4,220,650	3,000,000	1,220,650	New	4	14-1	IIB	14-4				
East Lynne *	C295695-01	TP, I	95	303	885,900	664,425	221,475	MO-0022896	5	14-3	I, IIIA	15-2				
Total Fundable Projects						\$ 7,505,662	\$ 2,722,538								\$ 705,684	
Balance						\$ -	\$ -									

Note: An explanation of the abbreviations and codes appears on page 38.

**Clean Water SRF
Nonpoint Source Direct Loan Program
Fiscal Year 2014**

Applicant	Project #	Description	Priority Points	Service Area Pop.	Eligible Costs	NPDES #	Problem Code	Financing Schedule FY - Qtr	Needs Category	Initiation of Operations	Green Project Reserve		
											Category	Business/Categorical	Amount
Amount Available From 15%					\$ 5,000,000								
Missouri Agriculture & Small Business Development *	C295212-09	TP	N/A	N/A	\$5,000,000	N/A	3	14-1	VIIB	15-1			
Total Fundable Projects					\$ 5,000,000								\$ -
Balance					\$ -								

Note: An explanation of the abbreviations and codes appears on page 38.

**Nonpoint Source and Green Infrastructure Demonstration Grants
Fiscal Year 2014**

Applicant	Project #	Description	Priority Points	Service Area Pop.	Grant Amount	NPDES #	Problem Code	Financing Schedule FY - Qtr	Needs Category	Initiation of Operations	Green Project Reserve		
											Category	Business/Categorical	Amount
Amount Available From 15%					\$ 2,812,000								
Taney County (Regional Class A Biosolids Facility)	C295538-01	TP Impr	145	51,675	\$2,812,000	Multiple	5	14-1	I	14-3			
Total Fundable Projects					\$ 2,812,000								\$ -
Balance Forward					\$ -								

Note: An explanation of the abbreviations and codes appears on page 38.

**Clean Water SRF Loan Program
Fundable Contingency Projects - Fiscal Year 2014
(Complete Facility Plan Submitted and Approved Debt Instrument)**

Applicant	Project #	Description	Priority Points	Service Area Pop.	Eligible Costs	NPDES #	Problem Code	Financing Schedule FY - Qtr	Needs Category	Initiation of Operations	Green Project Reserve		
											Category	Business/Categorical	Amount
Jefferson City (Basins 5, 6 & 12)	C295401-07	Coll Rehab, I, I/I	135	25,000	\$ 10,000,000	MO-0094846	4, 5	14-4	IIB, IVB	15-4			
Liberty *	C295702-01	TP, Coll	130	29,780	61,615,648	N/A	5	14-4	II, IVA, V	16-2			
Total Fundable Contingency Projects					\$ 61,615,648 \$ 71,615,648								\$ -

Note: An explanation of the abbreviations and codes appears on page 38.

**Clean Water SRF Loan Program
Contingency Projects - Fiscal Year 2014
(Complete Facility Plan Submitted)**

Applicant	Project #	Description	Priority Points	Service Area Pop.	Eligible Costs	NPDES #	Problem Code	Financing Schedule FY - Qtr	Needs Category	Initiation of Operations	Green Project Reserve		
											Category	Business/Categorical	Amount
Fulton	C295714-01	TP Exp, Impr	95	12,790	\$12,980,000	MO-0103331	5	14-3	I, II, IIIA, IIIB	15-3			
Naylor *	C295606-01	TP	50	610	200,000	MO-0099279	4, 5	14-1	I	15-1			
Prairie Heights Reorganized Common Sewer District	C295717-01	Coll Exp	45	296	225,000	N/A	2	14-4	IVA, IVB	15-4			
Total Contingency Projects					\$ 13,405,000								\$ -

Note: An explanation of the abbreviations and codes appears on page 38.

**Clean Water SRF Loan Program
Planning List - Fiscal Year 2014**

Note: An explanation of the abbreviations and codes appears on page 13

Applicant	Project #	Description	Priority Points	Service Area Pop.	Eligible Costs	NPDES #	Problem Code	Needs Category
Ashland	C295710-01	TP	45	6,500	\$5,635,000	MO-0106844	5	II
Auxvasse	C295547-01	PS Rehab	15	983	442,000	MO-0100986	5	IIIB
Barnard *	C295706-01	TP Impr	75	257	643,750	MO-0041190	5	I
Benton County Sewer District #1	C295713-01	PS, TP Impr	80	425	450,000	MO-0121550	5	II, IIIB
Boone County RSD (South Route K WWTP)	C295375-21	TP Impr	85	2,477	3,665,190	MO-0087173	5	II, IIIA, IVA
Calvey Creek S.D. (Catawissa Area) *	C295524-02	TP Impr, Coll, I	100	5,482	3,470,000	MO-0115410	4, 5	I, IVA, IVB
Calvey Creek S.D. (Phase II)	C295524-03	Coll	40	500	1,670,000	N/A	4	IVA
Gainesville *	C295697-01	TP Rehab	50	773	2,494,356	MO-0027570	5	I
Gravois Arm Sewer District - Phase 4	C295715-01	Coll Exp	65	400	2,197,100	MO-0134821	5	IVA
Hume	C295722-01	TP, Rehab	15	336	258,856	MO-0114715	4	I
Jackson *	C295247-03	TP, I	45	13,758	9,455,000	MO-0022853	5	IIIA, IVA
Jefferson City (Basins 5, 6 & 12)	C295401-07	Coll Rehab, I, II	135	25,000	10,000,000	MO-0004846	4, 5	II, IVB
Kansas City WSD (Blue River WWTP Storage) *	C295588-06	Stormwater	60	459,787	700,000	MO-0024911	5	I, VII
Kansas City WSD (Brookside Phase III) *	C295588-07	Stormwater, I/I	70	459,787	11,690,849	MO-0024911	4, 5	IIIA, VI
Kansas City WSD (East Bannister Road) *	C295588-11	I	90	459,787	1,274,138	MO-0024911	4	IVB
Kansas City WSD (Second Creek) *	C295588-15	I	55	459,787	30,305,158	MO-0024961	4	IVB
Lake Lotawana *	C295700-01	TP	75	2,137	2,606,000	MO-0055425	5	I, II
Lincoln Co. PWS #1 *	C295567-01	Coll	55	3,020	15,759,900	Multiple	4, 5	IVA, IVB
Madison *	C295658-01	I/I	20	567	1,253,874	MO-0096920	4, 5	I
Matthews *	C295701-01	TP Impr	80	605	928,000	MO-0127175	5	I
Monett (PW)	C295452-02	TP, Coll Rehab	80	8,900	4,830,000	MO-0021440	5	I, II, IIIA
Peculiar *	C295612-01	TP, PS, FM, Coll	90	4,800	10,293,600	MO-0089443	4, 5	I, IVA, IVB
Peculiar *	C295613-02	Stormwater	60	4,800	5,300,000	N/A	4	VI
Peculiar *	C295613-01	Stormwater	10	800	500,000	N/A	4	VI
Pike Creek Reorganized Common Sewer District	C295716-01	Coll Exp, I/I	80	2,000	1,918,700	MO-0124427	5	IIIA, IIIB, IVA
Poplar Bluff	C295671-01	TP	80	17,023	17,298,234	MO-0043648	1, 4, 5	I
Shelbina *	C295655-01	I/I	75	1,704	6,196,067	MO-0041092	4, 5	IIIA

Note: An explanation of the abbreviations and codes appears on page 13

Applicant	Project #	Description	Priority Points	Service Area Pop.	Eligible Costs	NPDES #	Problem Code	Needs Category
Sikeston Board of Municipal Utilities *	C295323-02	TP, PS, FM, I&I	105	16,992	18,900,000	MO-0035009 MO-0120863	4, 5	I, IIIA, IVB
St. James *	C295704-01	TP Impr, I/I	60	5,200	4,620,000	MO-0093564	5	I, IIIA
Stella	C295719-01	TP Exp	75	158	671,403	MO-0124281	5	I
Walnut Grove *	C295518-01	TP, Coll, I, I/I, Rehab	40	665	1,000,000	MO-0107174	5	I, IIIA, IIIB, IVB
Windsor *	C295512-01	TP, Coll, I, PS, FM, Rehab	85	2,901	5,000,000	MO-0047317 MO-0047325	5	I, IIIA, IIIB, IVB
Total Planning List Projects					\$181,427,175 \$171,427,175			

Problem Codes	Needs Codes	Description Reference List
1 - NPDES Permit Violation	I Secondary Treatment	Coll Collection
2 - Unpermitted Discharge	II Advanced Treatment	CSO Combined Sewer Overflow
3 - Water Quality Stds. Violation	IIIA I/I correction	Det Detention
4 - Public Health Problems	IIIB Sewer replacement or rehabilitation	Exp Expansion
5 - Future NPDES Violation Expected		FM Force Main
Green Project Reserve Codes	IVA New Collection	Impr Improvements
	IVB New Interceptors	I Interceptor
	V CSO	I/I Inflow/Infiltration
B Business Case	VIIB NPS: Animal	NPDES National Pollution Discharge Elimination System
C Categorical	VIID NPS: Urban	
EE Energy Efficiency		NPS Non Point Source
EI Environmentally Innovative		PS Pump Station
GI Green Infrastructure	PW Project is in an Our Missouri Waters Initiative Priority Watershed	Rehab Rehabilitation
WE Water Efficiency		TP Treatment Plant

Notes:

Final eligible costs will be determined as documents are submitted and the project is closer to financing.

Financing schedule shown is for planning purposes only. Final scheduling will be determined as documents are submitted and approvals obtained.

An * indicates the project is carried over from last year's IUP.

Carry over projects from the fiscal year 2013 list must reapply to be considered for the fiscal year 2015 list.

Disadvantaged communities are reflected in **bold italic print**.

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Source And Distribution Of Funds*			
Loan Administration Fees			
	Program Income Earned During Grant Period	Program Income Earned After Grant Period	Non-Program Income
Balance as of 12/31/12	\$ 418,232	\$ 19,223,715	\$ 5,121,450
Income			
Projected (01/01/13 thru 06/30/13)	\$ 368,007	\$ 1,206,515	\$ 1,614,776
Projected (07/01/13 thru 06/30/14)	\$ 1,459,574	\$ 2,307,991	\$ 2,818,965
Total Projected Income	\$ 1,827,581	\$ 3,514,506	\$ 4,433,741
FY 13 Projected Expenditures (01/01/13 thru 06/30/13)			
Program Administration	\$ (214,224)	\$ (55,907)	\$ (370,079)
DNR Transfers & Allocations	\$ (60,965)	\$ 18,157	\$ (153,304)
Program Specific Distribution (PSD)		\$ (1,583,769)	\$ (864,778)
FY 14 Projected Expenditures			
Program Administration	\$ (433,617)	\$ (1,227,923)	\$ (2,839,534)
ITSD Direct Costs			\$ (500,000)
Board Training & Operator Certification		\$ (250,000)	
Abatement of Water Quality Emergencies			\$ (250,000)
Water Quality & Watershed Initiatives		\$ (1,000,000)	
Rural Sewer Grants		\$ (0) \$ (2,500,000)	
State Parks Wastewater Infrastructure		\$ (2,250,000)	
Fixed Station Ambient Network Contract		\$ (452,356)	\$ (356,772)
Water Quality Studies			\$ (100,000)
Small Community Engineering Assistance Program			\$ (500,000) \$ (2,500,000)
Total Projected Expenditures	\$ (708,806)	\$ (6,801,798) \$ (9,301,798)	\$ (5,934,467) \$ (7,934,467)
Projected Balances	\$ 1,537,007	\$ 15,936,423 \$ 13,436,423	\$ 3,620,724 \$ 1,620,724

* The distribution of loan administration fees to various department activities is subject to change throughout the fiscal year. Actual fund uses will be shown in detail in the fiscal year 2014 Clean Water State Revolving Fund Annual Report.

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Source And Distribution Of Funds			
Loan Administration Fees			
Fund 0568			
As of Dec. 31, 2012			
Income	Program Income Earned During Grant Period	Program Income Earned After Grant Period	Non-Program Income
Beginning Balance as of 07/01/12	\$ 426,291	\$ 18,198,788	\$ 9,393,320
FY 13 Income (thru 12/31/12)	\$ 212,053	\$ 1,303,080	\$ 935,788
FY 13 Interest Earnings (thru 12/31/12)	\$ 1,438	\$ 56,789	\$ 23,945
Subtotal	\$ 639,782	\$ 19,558,657	\$ 10,353,053
Expenditures Thru 12/31/12			
FY 13 Personnel Services	\$ (94,117)	\$ -	\$ -
FY 13 Fringe	\$ (36,374)	\$ -	\$ -
FY 13 Expenses	\$ (6,517)	\$ (201,534)	\$ -
FY 13 PSD Expenditures	\$ -	\$ (5,412)	\$ (5,231,603)
FY 13 DNR Transfers	\$ (38,761)	\$ (57,652)	\$ -
FY 13 ITSD Transfers	\$ (30,795)	\$ (45,804)	\$ -
FY 13 HB 13 Transfers	\$ (13,499)	\$ (20,078)	\$ -
FY 13 OA Cost Allocation	\$ (1,481)	\$ (2,203)	\$ -
FY 13 State Owned Expenditures	\$ (6)	\$ (2,259)	\$ -
Subtotal	\$ (221,550)	\$ (334,942)	\$ (5,231,603)
Income Less Expenditures	\$ 418,232	\$ 19,223,715	\$ 5,121,450
Projected Income			
FY 13 Income (01/01/13 - 06/30/13)	\$ 366,018	\$ 1,137,199	\$ 1,602,864
FY 13 Interest Income (01/01/13 - 06/30/13)	\$ 1,989	\$ 69,316	\$ 11,912
FY 14 Income (07/01/13 - 06/30/14)	\$ 1,449,056	\$ 2,198,940	\$ 2,794,189
FY 14 Interest Income (07/01/13 - 06/30/14)	\$ 10,518	\$ 109,051	\$ 24,776
Subtotal	\$ 1,827,581	\$ 3,514,506	\$ 4,433,741
Projected Expenditures			
FY 13 Personnel Services	\$ (122,765)	\$ -	\$ (246,637)
FY 13 Fringe	\$ (72,175)	\$ -	\$ (123,442)
FY 13 Expenses	\$ (19,284)	\$ (55,907)	\$ -
FY 13 DNR Transfers	\$ (29,149)	\$ 7,441	\$ (71,552)
FY 13 ITSD Transfers	\$ (24,101)	\$ 5,215	\$ (57,840)
FY 13 HB 13 Transfers	\$ (9,196)	\$ 3,298	\$ (23,912)
FY 13 OA Cost Allocation	\$ 1,481	\$ 2,203	\$ -
FY 13 PSD Expenditures	\$ -	\$ (1,583,769)	\$ (864,778)
FY 14 Personal Service, Fringe, Expenses & Indirect	\$ (433,617)	\$ (1,227,923)	\$ (2,839,534)
FY 14 ITSD Direct Costs	\$ -	\$ -	\$ (500,000)
FY 14 Board Training & Operator Certification	\$ -	\$ (250,000)	\$ -
FY 14 Abatement of Water Quality Emergencies	\$ -	\$ -	\$ (250,000)
FY 14 Water Quality & Watershed Initiatives	\$ -	\$ (1,000,000)	\$ -
FY 14 Rural Sewer Grants	\$ -	\$ (0)	\$ -
FY 14 State Parks Wastewater Infrastructure	\$ -	\$ (2,500,000)	\$ -
FY 14 Fixed Station Ambient Network Contract	\$ -	\$ (452,356)	\$ (356,772)
FY 14 Water Quality Studies	\$ -	\$ -	\$ (100,000)
FY 14 Small Community Engineering Assistance Program	\$ -	\$ -	\$ (500,000)
Subtotal	\$ (708,806)	\$ (6,801,798)	\$ (5,934,467)
Total Actual and Projected	\$ 1,537,007	\$ 13,436,423	\$ 1,620,724

NOTE: The distribution of loan administration fees to various Department activities is subject to change throughout the Fiscal Year. Actual fund uses will be shown in detail in the state fiscal year 2014 Clean Water State Revolving Fund Annual Report.

